

# Introduction to the Standard Model

## Lecture 5: Gauge Theories

First some history<sup>1</sup>

- Weyl (1918) proposed space-time dependent length scales  
→ scaling transformations  $\sim e^{\Lambda(x)}$
- Fock, Weyl (1927-1929) in QED, global phases of wave functions non-observable, ‘minimal coupling’  $\hat{p}_\mu \rightarrow \hat{p}_\mu + A_\mu Q$  where  $A_\mu$  is gauge field  
→ amounts to ‘local’ phases  $\sim e^{i\Lambda(x)}$ .
- Yang, Mills (1954) applied this to non-Abelian groups, *e.g.*  $SU(2)$ ,  
→ leads to ‘matrix-valued phases’  $e^{i\Lambda_a(x)\sigma^a/2}$ .

As we shall see the *local* realisation of symmetry transformations induces dynamics, i.e. interaction terms.

### Field Theories with an abelian gauge group

**i) Global  $U(1)$  symmetry:** Consider a free charged scalar/fermion field, given by the respective Lagrangians:

$$\begin{aligned}\mathcal{L}_{\text{KG}}^0 &= \partial_\mu \phi(x)^* \partial^\mu \phi(x) - m^2 \phi(x)^* \phi(x) \\ \mathcal{L}_{\text{D}}^0 &= i\bar{\psi}(x)\not{\partial}\psi(x) + m\bar{\psi}(x)\psi(x)\end{aligned}$$

Both Lagrangians are invariant under a global  $U(1)$  symmetry:

$$\begin{aligned}\phi &\rightarrow \phi' = U(\Lambda)\phi \\ \psi &\rightarrow \psi' = U(\Lambda)\psi\end{aligned}$$

where  $U(\Lambda) = e^{i\Lambda Q}$  and  $\Lambda \in \mathbb{R}$  is a constant, i.e. a *global* parameter.

**Note:** we have assumed, for simplicity, that  $\phi$  and  $\psi$  both have the same charge  $Q$ .

We can derive the Noether Currents respectively as

$$\begin{aligned}\widehat{J}_{\text{KG}}^\mu &= iQ(\phi(x)^* \partial^\mu \phi(x) - \phi(x) \partial^\mu \phi(x)^*) \\ \widehat{J}_{\text{D}}^\mu &= Q\bar{\psi}(x)\gamma^\mu\psi(x)\end{aligned}$$

**Note:** The Noether currents and the respective charges are conserved due to the global symmetry.

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<sup>1</sup>An excellent recent article can be found on the internet: L. O’Raifeartaigh and N. Straumann, “Gauge theory: Historical origins and some modern developments,” *Rev. Mod. Phys.* **72** (2000) 1.

**ii) Local  $U(1)$  symmetry:** Let  $\Lambda \rightarrow \Lambda(x^\mu)$  such that  $\Lambda(x^\mu)$  is now a function of spacetime. In the case of the complex scalar field

$$\begin{aligned}\phi &\rightarrow \phi' = U(\Lambda(x))\phi \\ \phi^* &\rightarrow \phi'^* = \phi^*U^\dagger(\Lambda(x))\end{aligned}$$

However, this does not allow  $\mathcal{L}_{\text{KG}}^0$  to be invariant under local  $U(1)$  symmetry since

$$\begin{aligned}\partial^\mu \phi(x) &\rightarrow \partial^\mu \phi' = \partial^\mu (U(\Lambda(x))\phi) \\ &= (\partial^\mu U(\Lambda(x)))\phi + U(\Lambda(x))\partial^\mu \phi \\ &\neq U(\Lambda(x))\partial^\mu \phi \quad \text{which is needed for invariance}\end{aligned}$$

A generalisation of the differential operator is needed, a *covariant* derivative. Specifically, we require

$$\begin{aligned}D^\mu \phi &\rightarrow D^{\mu'} \phi' \stackrel{!}{=} UD^\mu \phi \\ (D^\mu \phi)^* &\rightarrow (D^{\mu'} \phi')^* \stackrel{!}{=} D^\mu \phi U^\dagger \\ (D^{\mu'} \phi')^* (D^{\mu'} \phi') &\stackrel{!}{=} (D^\mu \phi)^* U^\dagger U (D^\mu \phi) = (D^\mu \phi)^* (D^\mu \phi)\end{aligned}$$

We choose

$$\partial^\mu \rightarrow D^\mu \equiv \partial^\mu + iQA^\mu$$

as our ansatz.

$$D^{\mu'} \phi' = (\partial^\mu + iQA^{\mu'})\phi' \stackrel{!}{=} UD^\mu \phi = U(\partial^\mu + iQA^\mu)\phi$$

which can be rewritten as

$$[(\partial^\mu U)U^{-1} + iQA^{\mu'}]U\phi = UiQA^\mu(U^{-1})U\phi$$

This implies that we need a 4-vector that transforms as

$$A^{\mu'} = UA^\mu U^{-1} + i/Q(\partial^\mu U)U^{-1}$$

In the case of  $U(1)$ ,  $U$  is just a phase:  $U = e^{iQ\Lambda(x)}$  hence  $UA^\mu = UA^\mu$  (trivial) and  $\partial^\mu U = iQ(\partial^\mu \Lambda)U$ . Then we find that

$$A^{\mu'} = A^\mu - \partial^\mu \Lambda$$

which is just a gauge transformation of a vector potential  $A^\mu$ .

In other words, if one couples a globally  $U(1)$  symmetric theory to a gauge field  $A^\mu$  by the covariant derivative  $\partial^\mu \rightarrow D^\mu \equiv \partial^\mu + iQA^\mu$ , one ends up with a *locally* symmetric  $U(1)$  theory. This way of coupling a gauge potential to a matter field is traditionally called *principle of minimal coupling*. In the context of gauge theories it is deduced from the local invariance property.

The free Klein-Gordon Lagrangian has to be modified in this respect

$$\begin{aligned}\mathcal{L}_{\text{KG}}^0 &\rightarrow \mathcal{L}_{\text{KG}} = (D_\mu \phi)^* (D^\mu \phi) - m^2 \phi^* \phi \\ &= [(\partial_\mu - iQA_\mu)\phi^*](\partial^\mu + iQA^\mu)\phi - m^2 \phi^* \phi \\ &= \partial_\mu \phi^* \partial^\mu \phi + iQ(\partial_\mu \phi^*)\phi A^\mu - iQA_\mu \phi^* \partial^\mu \phi + Q^2 A_\mu A^\mu \phi^* \phi - m^2 \phi^* \phi \\ &= \mathcal{L}_{\text{KG}}^0 + \mathcal{L}_{\text{Interaction}}\end{aligned}$$

where we define  $\mathcal{L}_{\text{Interaction}}$  as

$$\mathcal{L}_{\text{Interaction}} \equiv \widehat{J}_{\text{KG}}^\mu A_\mu + Q^2 A_\mu A^\mu \phi^* \phi$$

Now we see that our theory which is invariant under local gauge transformations is promoted to an interacting theory. Hence we conclude

Local gauge symmetry  $\Rightarrow$  matter-gauge field interaction

*ie* locally symmetric theories induce uniquely defined interaction properties.

The dynamics of the gauge field  $A^\mu$  is induced in the standard way:

$$F^{\mu\nu} = \partial^\mu A^\nu - \partial^\nu A^\mu = -(i/Q)[D^\mu, D^\nu] \quad (\text{tutorial})$$

$$\mathcal{L}_{\text{Maxwell}} = -\frac{1}{4} F^{\mu\nu} F_{\mu\nu}$$

where  $F^{\mu\nu}$  is constructed to be gauge invariant as it is symmetric under a  $U(1)$  gauge transformation:  $A^\mu \rightarrow A^{\mu'} = A^\mu - \partial^\mu \Lambda$ .

The full Lagrangian to describe a free complex scalar field is thus given by

$$\mathcal{L} = \mathcal{L}_{\text{Maxwell}} + \mathcal{L}_{\text{KG}} = \mathcal{L}_{\text{Maxwell}} + \mathcal{L}_{\text{KG}}^0 + \mathcal{L}_{\text{Interaction}}$$

This is known as *Scalar Electrodynamics*. It is the first example of a non-trivial field theory based on a commuting symmetry group and is hence an example of an Abelian Gauge Theory.

## The Field Equations

The field equations are obtained by the principle of least action  $\delta S = 0$  from the action  $S = \int d^4x \mathcal{L}$  and for the gauge field  $A_\mu$  turn out to be:

$$\partial_\mu F^{\mu\nu} = \partial^2 A^\nu - \partial^\nu (\partial_\mu A^\mu) = J^\nu \quad ,$$

which along with the Bianchi Identity

$$\partial^\mu F^{\nu\rho} + \partial^\nu F^{\rho\mu} + \partial^\rho F^{\mu\nu} = 0$$

lead to the Maxwell equations. The gauge invariant field equations for the complex scalar field are

$$\begin{aligned} (D_\mu D^\mu + m^2)\phi &= 0 \\ (D_\mu D^\mu + m^2)\phi^* &= 0 \end{aligned}$$

with

$$\begin{aligned} J^\nu &= -\frac{\partial \mathcal{L}_{\text{Int}}}{\partial A_\nu} \\ &= iQ(\phi^* D^\nu \phi - \phi D^\nu \phi^*) \\ &= \widehat{J}_{\text{KG}} - 2Q^2 \phi^* \phi A^\nu \end{aligned}$$

We can also see now that  $J^\mu$  is the covariant version of the Noether Current:

$$\widehat{J}_{\text{KG}} \xrightarrow{\partial^\mu \rightarrow D^\mu} J^\nu$$