

# A higher derivative/Lee-Wick Standard Model

CP3 Origins  
<http://cp3-origins.dk/>



Roman Zwicky (Southampton)  
January 26 2010, Mainz

# Overview

★ general introduction -- hierarchy problem - Higgs composite or fund.?

★ Lee-Wick QFT -- toy model  $L \sim \varphi^3$

1. Higher derivative formulation
2. Auxiliary field formulation

★ tree-level unitarity -- an instructive example

★ The Lee-Wick Standard Model (construction principle)

absence of quadratic divergences

fermion sector

electroweak precision observables (oblique formulation)

LHC phenomenology I & II

★ Ideas behind unitarity -- acausality (brief)

★ Epilogue formal & pheno aspects

# Introduction...

- ★ ca '69 renormalization theory not popular with all field theorists  
*prior to: Wilson view renormalization (effective theories)*  
*'t Hooft & Veltman renormalization spont.-broken gauge theories*  
*strong interaction as a gauge theory known as QCD*

Finite Theory of Quantum Electrodynamics\*

T. D. LEE AND G. C. WICK

Physics Department, Columbia University, New York, New York 10027

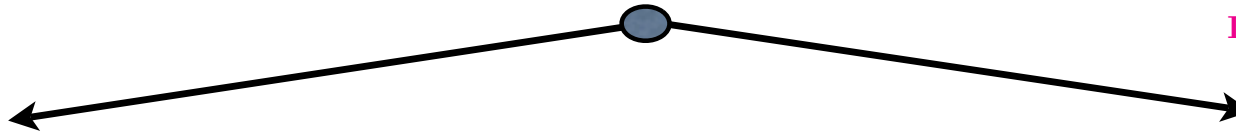
(Received 15 May 1970)

*declared Pauli-Villars  
field as physical  
(at least virtual)*

- ★ ca '07 omnipresent hierarchy problem ...  
*inspires most new physics models (not flavour ...)*  
*Grinstein O'Connell Wise ... apply Lee-Wick field theories to SM*  
*⇒ only logarithmically divergent (cure to hierarchy problem)*

# Lee-Wick field theories

Lee & Wick ~ 1970



a theory with (unobservable) ghosts  
renders QED finite

★ Field theory laboratory

★ Curious (LW)SM phenomenology  
Grinstein, O'Connell, Wise 2007

.. only  
candidate:  
non-trivial  
**acausal** QFT

1970



unitary?

$|V_{tb}| > 1 !!$

interference effects

“solution” to  
hierarchy problem

# Hierarchy Problem

- ★ If SM viewed as an effective theory (Wilson)

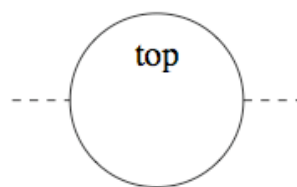
$$\mathcal{L}_{\Lambda_{EW}}^{\text{eff}} = m_H^2 h_0^2$$

scalar mass term is relevant operator (grows at low energy)  
 $\Rightarrow \Lambda_{EW} \sim m_H$  appears accidental / **unnatural**

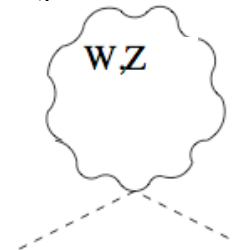
- ★ Viewpoint from perturbation theory -- 1-loop corrections

$$\Lambda_{\text{cut-off}} = 10 \text{ TeV}$$

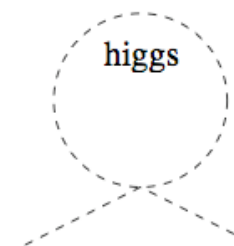
$$\Delta m_H =$$



$$-2 \text{ TeV}$$



$$0.7 \text{ TeV}$$



$$0.5 \text{ TeV}$$

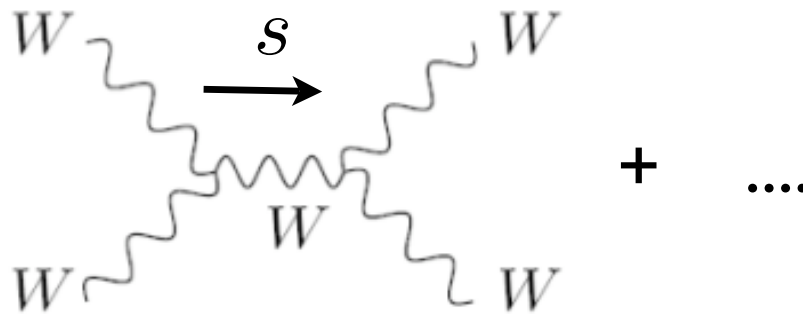
- ★ “Cure”: something ought to happen  $E_{\text{new}} \approx \mathbf{O(1)} \Lambda_{EW}$   
 EWPO often  $\Rightarrow E_{\text{new}} \approx \mathbf{O(10)} \Lambda_{EW}$  “**little hierarchy problem**”

**In fact: why there really ought to be something ....**

**$W_L$ - $W_L$  scattering**

“perturbative unitarity”

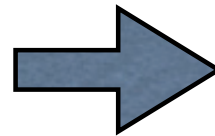
S-wave



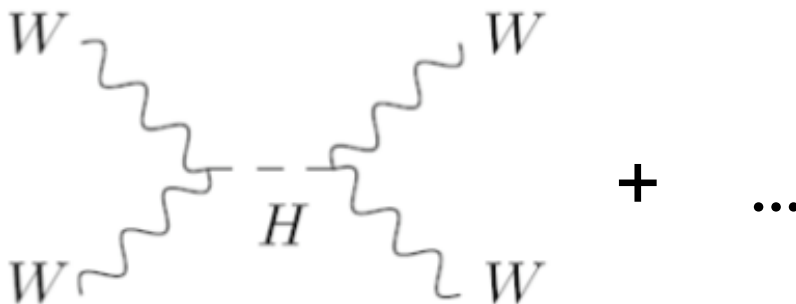
$$A_0 = \frac{g_2^2}{16\pi m_W^2} \textcircled{s}$$

**Unitarity bound**

$$\text{Re}[A_0] \leq \frac{1}{2}$$



$$s_{\text{crit}} \sim (1.2 \text{ TeV})^2$$

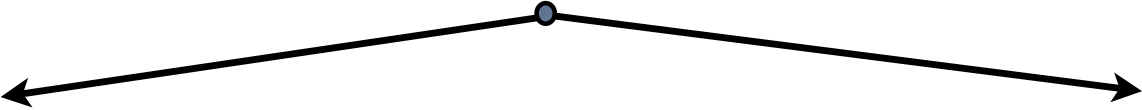


$$\delta_H A_0 = \ominus \frac{g_2^2}{16\pi m_W^2} \textcircled{s}$$

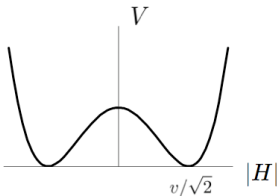
*Standard (Model) solution*

# Beyond the SM

centered around the Higgs mechanism of SSB  
 $\Rightarrow$  W,Z masses; hierarchy problem?



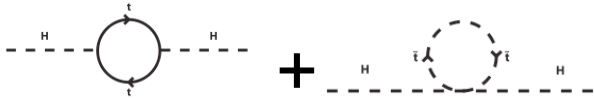
**fundamental** particle  
small width



need cancelation  
mechanism



**Supersymmetry**  
opposite statistics partner

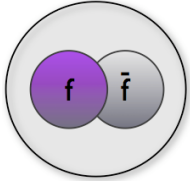


SSB  $SU(2)_L$   
Higgs-mechanism

hierarchy problem

Examples

**composite** particle  
large width



strong dynamics

$$\langle q_R q_L \rangle \sim (\Lambda_{EW})^3$$

quantum corrections  
cut off by analogue of  $\Lambda_{QCD}$

**Technicolour**  
Higgs sector  $\Rightarrow$  Gauge theory

$$SU(3)_c \otimes SU(2)_L \otimes U(1)_Y \otimes G_{TC}$$

**... time to enter Lee-Wick field theories**

**Toy Model:  $\varphi^3$**

- A. Higher derivative formulation
- B. Auxiliary field formulation



# A. Higher derivative formulation

Add **higher derivative** term to L

$$\mathcal{L}_{\text{hd}} = -\frac{1}{2}\hat{\phi}\partial^2\hat{\phi} - \frac{1}{2}m^2\hat{\phi}^2 - \frac{1}{2M^2}(\partial^2\hat{\phi})^2 - \lambda\hat{\phi}^3$$

Propagator:

$$\hat{D}(p) = \frac{1}{p^2 - p^4/M^2 - m^2} \sim \frac{1}{(p^2 - m_{\text{ph}}^2)} \ominus \frac{1}{(p^2 - M_{\text{ph}}^2)}$$



two poles -- one “wrong” sign residue (PV ghost)



improved convergence diagrams

## B. Auxiliary field formulation

Introduce **auxiliary** field  $\Phi$   
(equivalent Lagrangian)

$$\mathcal{L}_{\text{eff}} = \begin{pmatrix} \phi \\ \phi_{LW} \end{pmatrix} \left[ \partial^2 \begin{pmatrix} 1 & 0 \\ 0 & \ominus 1 \end{pmatrix} + \begin{pmatrix} m^2 & -m^2 \\ -m^2 & m^2 + M^2 \end{pmatrix} \right] \begin{pmatrix} \phi \\ \phi_{LW} \end{pmatrix} + \lambda \underbrace{(\phi - \phi_{LW})^3}_{\hat{\phi}_{\text{HD}}}$$

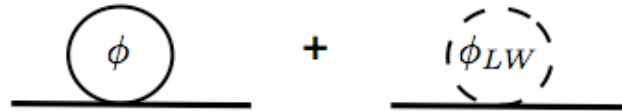
diagonalization  $\Rightarrow$  hyperbolic rotations

$$\begin{pmatrix} \phi \\ \phi_{LW} \end{pmatrix} = \begin{pmatrix} \cosh \theta & \sinh \theta \\ \sinh \theta & \cosh \theta \end{pmatrix} \begin{pmatrix} \phi \\ \phi_{LW} \end{pmatrix}_{\text{ph}} \quad \tanh 2\theta = \frac{-2m^2/M^2}{1 - 2m^2/M^2}$$

shift to physical fields couplings & masses

# Improved convergence: e.g. self energy

$$\Sigma(p^2) \sim \int_k \frac{1}{k^2 - m^2} \ominus \int_k \frac{1}{k^2 - M^2} = \int_k \frac{(m^2 - M^2)}{(k^2 - m^2)(k^2 - M^2)}$$



“only” log-divergent

Hasty impression (AF-formalism):

P<sub>1</sub>: **double** number of **fields**

P<sub>2</sub>: **power counting** improves: #(inter lines) (-2)

*not as simple  
for gauge theories!!*

## Higher derivative (HD) formulation:

- ★ construction principle of LWSM
- ★ power counting (divergences)

## Auxiliary field (AF) formulation:

- ★ explicit calculations
- ★ contact with experiment

use them both  $\Rightarrow$  check your results



# Interpretation: First example

unitarity?  
causality?



s-channel **unitarity** (1-loop)

$$2 \operatorname{Im} \left[ \text{bubble diagram} \right] = \left[ \text{cut bubble diagram} \right] = \left| \text{triangle diagram} \right|^2 > 0$$

sum all bubbles (use narrow width approximation)

$$\tilde{D}(p^2) = \frac{-1}{p^2 - M^2} + \frac{-1}{p^2 - M^2} \Sigma(p^2) \frac{-1}{p^2 - M^2} + \dots = \frac{\ominus 1}{p^2 - M^2 \oplus \Sigma(p^2)}$$

$$\operatorname{Im}[\tilde{D}(p^2)] \sim (-)_{\text{prop}} \operatorname{Im}[-\Sigma(p^2)] = (-)^2 M \Gamma > 0$$

*(-1)<sup>2</sup> = 1  
negative residue and  
width cancel!*

# The Lee-Wick Standard Model (LWSM)

Grinstein, O'Connell, Wise  
(0704.1845)

Construction principle in HD formalism

$$\delta\mathcal{L}_{scalar} = -\frac{1}{M_\phi^2} |\hat{D}^2 \hat{\phi}|^2$$

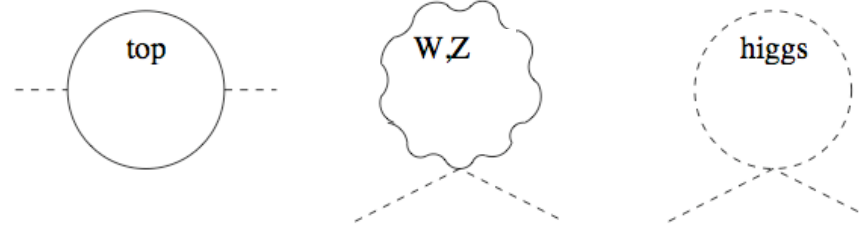
$$\delta\mathcal{L}_{\chi-fermion} = +\frac{1}{M_\psi^2} \bar{\hat{\psi}} i \hat{D} \hat{D} \hat{D} \hat{\psi}$$

$$\delta\mathcal{L}_{gauge} = +\frac{1}{M_A^2} \text{Tr}(\hat{D}\hat{F})_\mu^2$$

power counting!!

- gauge invariance (minimal coupling) enforces derivatives in  $\mathcal{L}^{\text{int}}$
- $\mathcal{L}^{\text{gauge}}$  could be used as PV regulator for non-abelian gauge theories

# Absence of quadratic divergences -- HD formalism



*the  $\Lambda^2_{UV}$ -graphs*

- ★ top and Higgs loop: no new “ $\partial$ ” simply log convergent
- ★ gauge boson loop: **new “ $\partial$ ”**  $\Rightarrow$  closer look P<sub>1</sub>

$$d_{div} = 6 - 2L - E_S - E_V - 2E_g = \textcircled{2}$$

*(naive) power counting!!*

$$\mathcal{L}^{int} = h^\dagger D^4 h \sim h^\dagger (\partial^2 + \partial \cdot A + A \cdot \partial + A^2)^2 h$$



- ★ in Lorentz gauge ( $\partial \cdot A = 0$ ) & partial-f  $\Rightarrow$  log-convergent

## Flavour sector ... CKM -- AF formalism

★ massive fermion = 2×chiral fermion  
 ⇒ **three** LW fermion generations !!

$P_2$

$$\begin{aligned} Q_L &\leftrightarrow (\tilde{Q}_L, \tilde{Q}'_R) \\ q_R &\leftrightarrow (\tilde{q}'_L, \tilde{q}_R) \end{aligned}$$

$$\begin{pmatrix} m_t & -m_t & 0 \\ -m_t & m_t & -M_t \\ 0 & -M_t & 0 \end{pmatrix}$$

top mass matrix

$$\begin{pmatrix} m_t & -m_t & 0 \\ -m_t & m_t & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

top Yukawa matrix

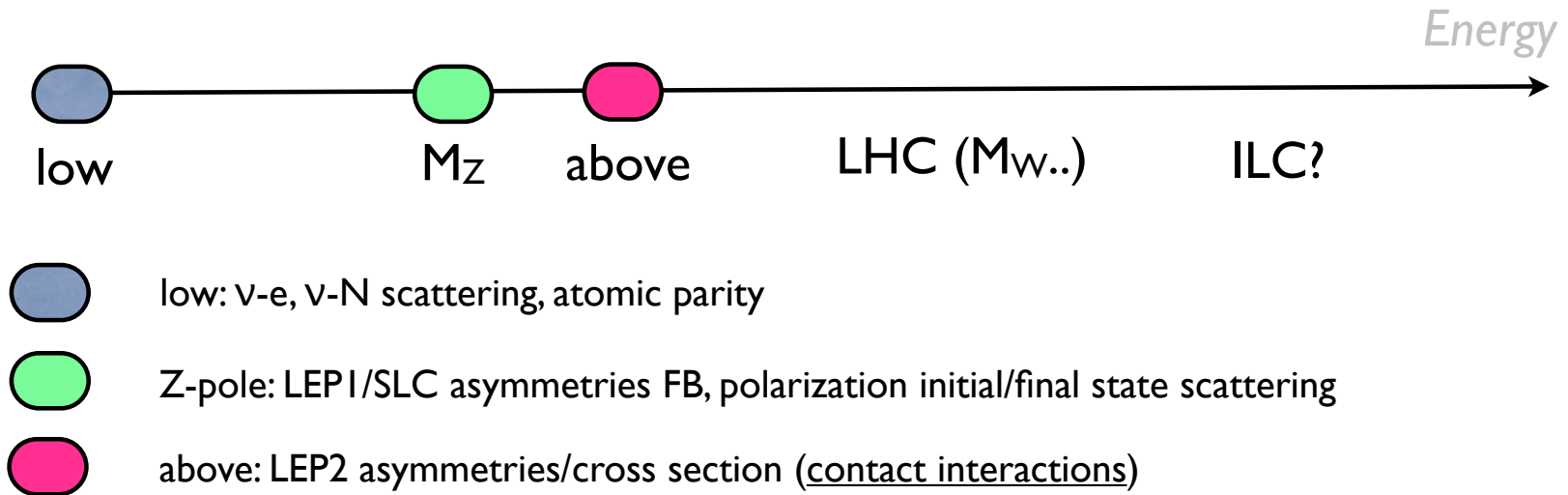
★ are not simultaneously diagonal

non-unitary correction to CKM matrix (generalize unitarity)

★ e.g.  $|V_{tx}| \rightarrow |V_{tx}| \left(1 + \frac{1}{2} \left(\frac{m_t}{m_{\tilde{t}}}\right)^2\right) > 1$  possible (x=t)



# Electroweak (precision) constraints



Generally: trade EW parameters ( $g_1, g_2, v$ ) for three best measured parameters

$$m_Z = 91.1976(21) \text{ GeV}, \quad \alpha^{-1}(m_Z) = 127.918(18), \quad G_F = 1.16637(1) \cdot 10^{-5} \text{ GeV}^{-2}$$

Quantity X :  $X = X(G_F^{\text{SM}}, \dots | M_{\text{LW}}, \dots) = X(G_{\text{SM}}, M_{\text{LW}}, \dots)$

1. Lagrangian ("theory")

2.  $G_F = G_F^{\text{SM}}(1 + \delta_{\text{LW}})$  ("experiment")

# Work out effective Lagrangians (step 1)

f-out heavy d.o.f. & diagonalize (hyperbolic)

$$\mathcal{L}_Z^{\text{eff}} = - \left( \rho_f \sqrt{2} G_F \right)^{1/2} 2 m_Z J_Z \cdot Z, \quad J_Z^\mu \equiv (J_3 - s_*^2(m_Z) J_Q)^\mu$$

$$\mathcal{L}_{\text{Low}}^{\text{eff}} = - \frac{4 G_F}{\sqrt{2}} \left( J_+ \cdot J_- + \rho_*(0) J_{\text{nc}}^2 \right) + C_Q J_Q^2 \quad J_{\text{nc}}^\mu \equiv (J_3 - s_*^2(0) J_Q)^\mu$$

$$\mathcal{L}_{\text{QED}}^{\text{eff}} = - \frac{1}{16\pi\alpha} F^2 + ..$$

★ Out of  $(G_F, m_Z, \alpha)$  only  $m_Z$  affected

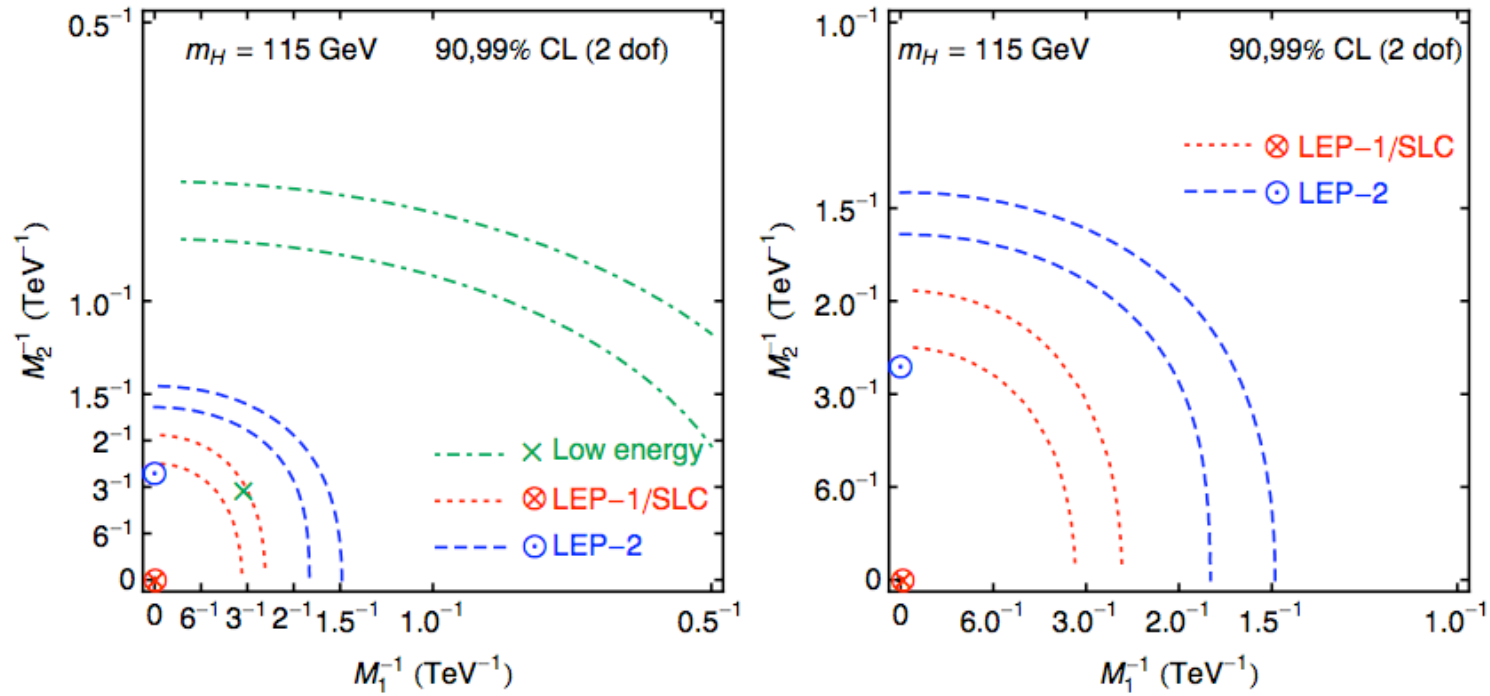
★ Low energy not affected (formally) -- "  $\partial^4 / M_{\text{LW}}^2 \Rightarrow 0$  "

## Match with experiment (step 2)

$$s_W^2 c_W^2 = \frac{\pi\alpha(M_Z)}{\sqrt{2}G_F M_Z^2} \frac{(1 + \delta_G)(1 + \delta_Z)}{1 + \delta_\alpha}$$

low energy  
is (finally) affected

# perform the $\chi^2$ -fit .....



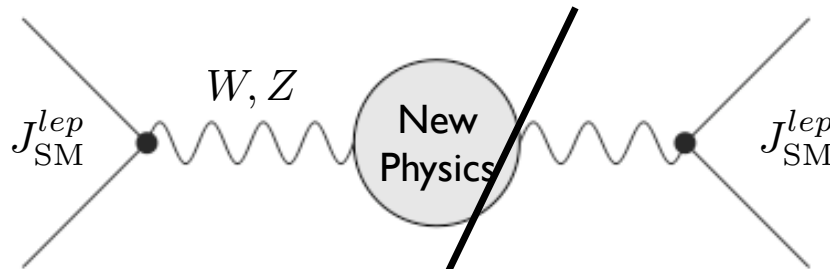
★ traces of the “little hierarchy” problem ?

*like many other models*

# Oblique formalism (S,T,U)

Kennedy & Lynn, Altarelli & Barbieri, Peskin & Takeuchi ~90'

★ If “new physics” in (W,Z) **self energy** (e.g. 4<sup>th</sup> family, technicolor)



“universal”  
corrections

★ If  $\epsilon = (M_W / M_{New})^2 \ll 1 \Rightarrow$  oblique approximation

$$\Pi_{ab}(\epsilon) = \Pi_{ab}(0) + \Pi'_{ab}(0)\epsilon + \mathcal{O}(\epsilon^2)$$

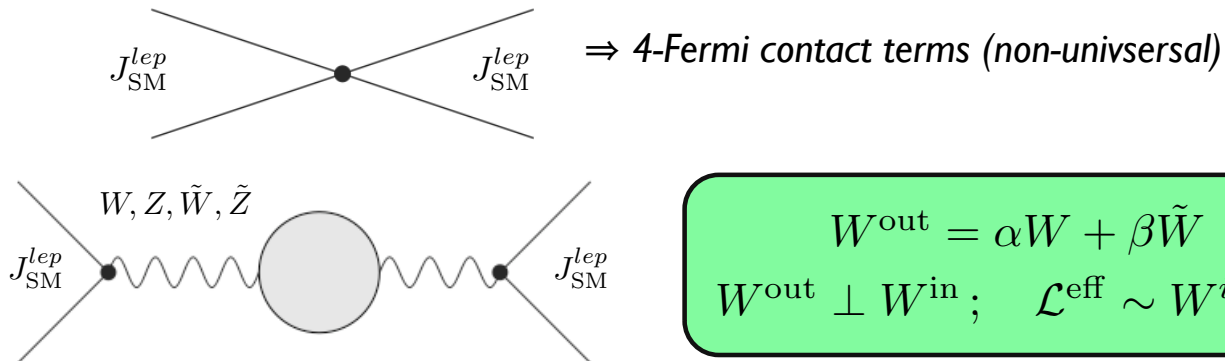
$ab \in \{BB, B3, 33, 11\}$

★  $\Rightarrow 8 - 3(G_F, m_Z, \alpha) - 2_{U(1)} = 3$  parameters **S**, **(T, U)**

e.g.  $\rho_*(0) = 1 + \alpha T ; \quad T = \frac{1}{M_W^2 \alpha} (\Pi_{33}(0) - \Pi_{11}(0))$

# Non-universal models .....

★ additional weak gauge bosons  $\tilde{W}, \tilde{Z}$  --  $\int$ -out



$$W^{out} = \alpha W + \beta \tilde{W}$$

$$W^{out} \perp W^{in}; \quad \mathcal{L}^{eff} \sim W^{in} \cdot J_{SM}^{lep}$$

⇒ theory “universal” form -- “universalized”

★ prize to pay: one higher order ⇒ 3 + 4 = 7 parameters (S,X) (T,U,V) (W,Y)

$$\Pi_{ab}(\epsilon) = \Pi_{ab}(0) + \Pi'_{ab}(0)\epsilon + \frac{1}{2}\Pi''_{ab}(0)\epsilon^2 + \mathcal{O}(\epsilon^3)$$

*captures contact terms of LEP-2*

## LWSM (AF) formalism

★ belongs to this class of models

★ “universalize” AF formulation  $\Rightarrow$  HD formulation !!

*think backwards*

e.g. 
$$\Pi(q^2)_{33} = q^2 - m_W^2 - \frac{q^4}{M_2^2}; \quad W = \frac{M_W^2}{2} \Pi''_{33}(0) = -\frac{m_W^2}{M_2^2}$$

All leading order terms

$$S=0, \quad T=0, \quad W = \ominus (m_W/M_2)^2, \quad Y = \ominus (m_W/M_1)^2$$

★ expand (previous) tree-level exact results in  $\epsilon$  -- they do agree!

★ N.B. carefully avoided “custodial symmetry” ; ambiguous  $g_1 \neq 0!$

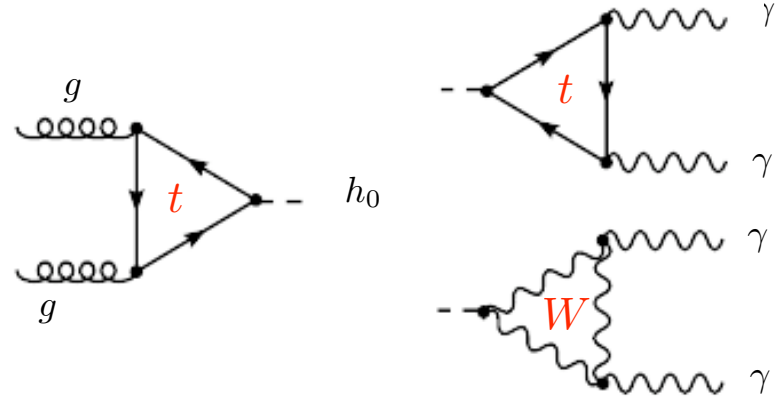
★ Similar models x-dim. LW  $\sim$  KK; but  $W, Y \sim (m_W/M_{KK})^2 > 0$  no ghost :)

# LHC Pheno I -- $gg \rightarrow h_0 \rightarrow \Upsilon\Upsilon$

Krauss, Underwood, RZ '07

★ Low Higgs mass discovery channel sensitive to heavy quarks i.e. top

$$\mathcal{A} \sim (1 \pm \mathcal{O}(1/m_{LW}^p))$$



1. ghost reduction -



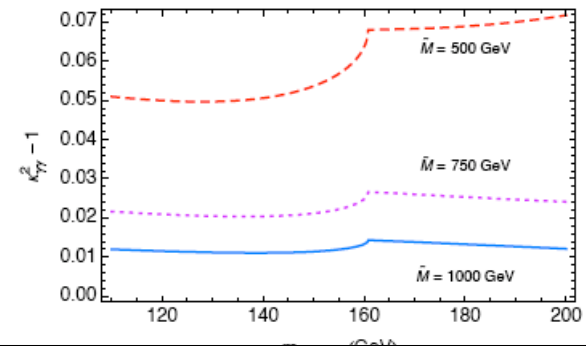
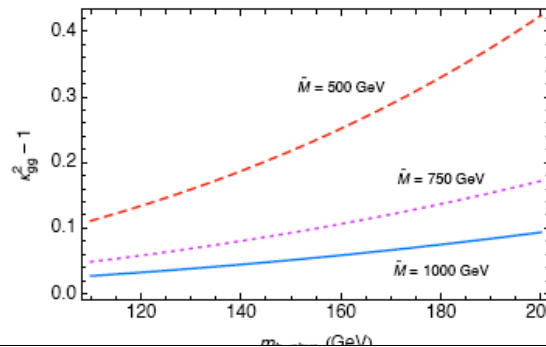
hyperbolic rotation ( $V_{tx}$ ) +

2.  $p=2$  ; fast quadratic decoupling  $(m_W/m_{LW})^2$  -- could have been linear ....

*winner*

★ scaling derived "easily" HD picture

★ 1. sign 2. scaling

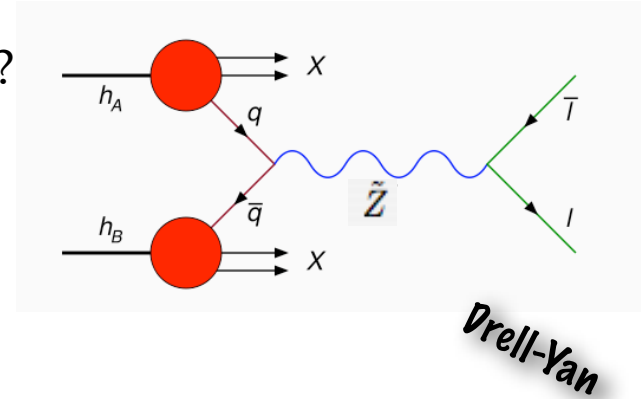


# LHC Pheno II -- $qq \rightarrow \tilde{Z}(\tilde{W}) \rightarrow ll(\nu)X$

Rizzo arxiv:0704.3458[hep-ph]

★ Can LW gauge bosons be “uniquely” identified?

wrong sign  
1. residue  
2. width



- 2. width  $\sim 2$  GeV small -- at least  $\Gamma_{LW}/M_W$  suppressed + hadronic env.
- 1. measure cross section rather than amplitude!

$$\sigma \sim (-1)_{\text{residue}} \lambda_{qq\tilde{Z}} \lambda_{ll\tilde{Z}} \cdot \lambda_{qqZ} \lambda_{llZ}$$

sign absorbed coupling  
Answer: no!

★ obvious formal cure: same initial final state vertices!

- gauge bosons to two jets (dijets) -- challenging background
- Bhabba scattering  $e^+e^- \rightarrow e^+e^-$  for ILC

Answer: yes!

Rizzo arxiv:0712.1791[hep-ph]



# Idea behind unitarity

negative norm states & unitarity are in straight contradiction

*have seen tree-level (improved pert. th) works ... but how about loops? .*

★ basic-picture: S-matrix block-diagonal on particle/ghost-space

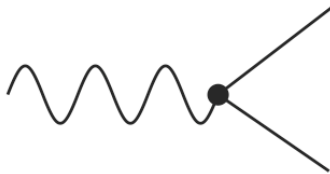
$$S = \begin{pmatrix} S & 0 \\ 0 & X \end{pmatrix} \begin{matrix} \text{particle-world} \\ \text{Lee-Wick-resonance} \end{matrix}$$

$$S^\dagger S = 1$$

*asymptotically  
2-worlds*

★

$e \neq 0$ , the states  $\{|e^+e^-\rangle_{m_{\tilde{A}}}, |\tilde{A}\rangle\}$  mix for  $m_{\tilde{A}} > 2m_e$



$$\mathcal{H}^{int} = \begin{pmatrix} 0 & i\Gamma/2 \\ i\Gamma/2 & 0 \end{pmatrix}, \quad \Gamma = \frac{1}{3}\alpha m_{\tilde{A}}$$

*complex di-pole*

$$\Rightarrow |\pm\rangle \sim (|\tilde{A}\rangle \pm |e^+e^-\rangle_{m_{\tilde{A}}}) \text{ with } E_c = m_{\tilde{A}} \pm i\Gamma/2$$

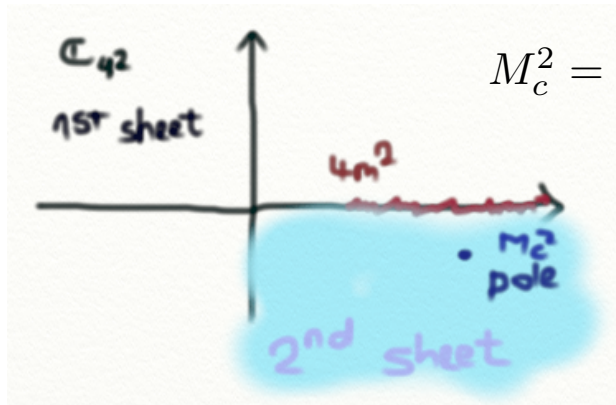
negative norm states have complex energies

# Viewpoint of analyticity

(rather non-analytic points:)

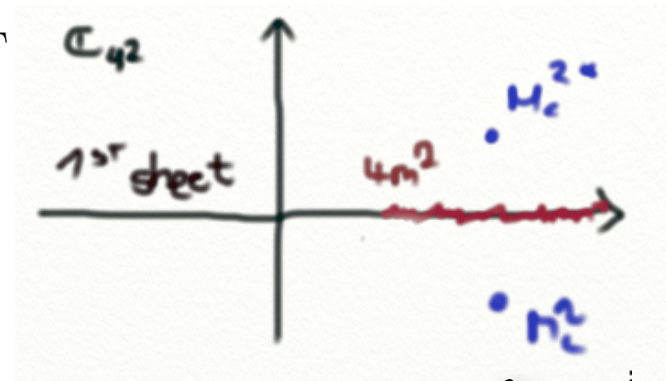


positive norm:



$$M_c^2 = M_{LW}^2 - iM_{LW}\Gamma$$

negative norm:



Schwartz-reflection principle

complex di-pole

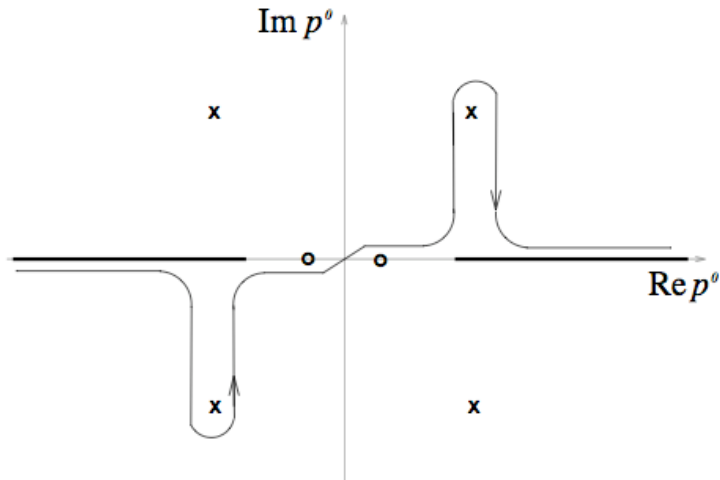
$$i\Delta(q^2) = \frac{1}{q^2 - M_c^2} + \frac{1}{q^2 - M_c^{*2}} + \int_{4m^2} \frac{ds\rho(s)}{s - q^2 - i0} \xrightarrow{q^2 \simeq M^2 \gg \Gamma^2} \frac{1}{q^2 - M_c^2}$$

# Ready for Loops

(rather non-analytic points:)

Lee-Wick '69  
CLOP '69 (refined)

$$i\Delta(q^2) = \frac{1}{q^2 - M_c^2} + \frac{1}{q^2 - M_c^{*2}} + \int_{4m^2}^{\infty} \frac{ds\rho(s)}{s - q^2 - i0} \quad q^2 \simeq M^2 \gg \Gamma^2 \rightarrow \Gamma$$



$$\int_p \Delta(p^2) \Delta((p+q)^2)$$

Examples: Lee-Wick poles in  $C_{p0}$

$$p_0 = \pm \sqrt{\vec{p}^2 + M_c^2}$$

$$p_0 = \pm \sqrt{\vec{p}^2 + M_c^{*2}}$$

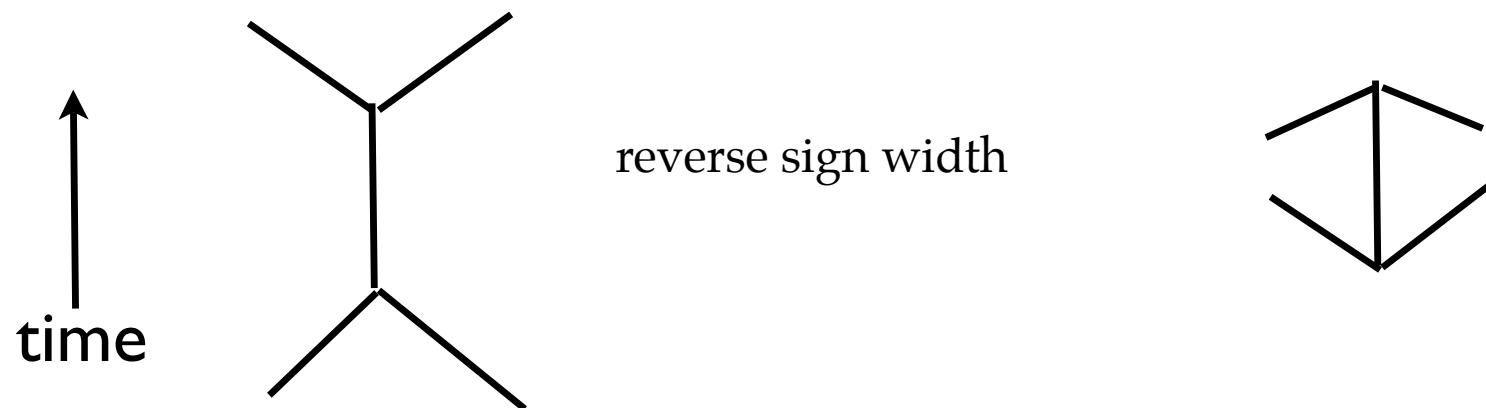


Status: no examples known in literature where this does not work!

## (A)Causality

(In proofs of analyticity causality is ingredient ...may expect trouble)

- ★ Classical level: higher derivatives .. need future BC to eliminate unstable modes
- ★ Quantum level: acausality is microscopic phenomenon



- ★ Distance of backward propagation prop. to  $1/\Gamma_{LW}$  .. microscopic  
*Alvarez et al '09* proposal to measure with primary & secondary vertex ... lee-wick electron cand.  
since it is lightest.

# Epilogue I (formal)

- ★ **Unitarity** to all order in perturbation theory?
- ★ **Non-perturbative definition** *Boulware, Gross '84*  
path- $\int$  via canonical formalism does not yield CLOW prescription
- ★ Is LWFT Lorentz invariant?  
No with LW prescription -- Yes? with CLOW prescription
- ★ Is LWFT **effective description** of sthg beyond field theory?  
(borne out in desperation of the hierarchy problem)
- ★ Proposal path- $\int$  quantum fields restricted test fcts space  
yields CLOW prescription *Tonder, Dorca '06*
- ★ Not discussed high T physics e.o.s.  $w=1$  ( $p = w \rho$ ) .....

# Epilogue II (pheno)

- ★ 2007 GOW extended LWFT to SM: chiral fermions -- non-abelian gt counting of d.o.f. not trivial when  $M_{SM} = 0$  ( $M_{LW} \neq 0$  by construction)
- ★ not suprisingly LWSM has **curious phenomenology**  
e.g. possible  $|V_{tb}| > 1$   
negative residue “cought” in:  $xx \rightarrow Z_{LW} \rightarrow xx$ ;  $xx=(qq, ll)$
- ★ EWPO constrains  $\sim 2-3\text{TeV}$  for weak LW gauge bosons  
again distinguished other models e.g. x-dim
- ★ Issues left out:  
LWFT as lattice regulator (avoids discretization effects cut-off) used 1990  
LWFT running of beta-fct, LWFT & massive vector boson scattering

*little hierarchy  
problem*

**Merci pour votre attention!**