

# On comparing the phase diagram of $SU(N)$ gauge theories at weak and strong coupling

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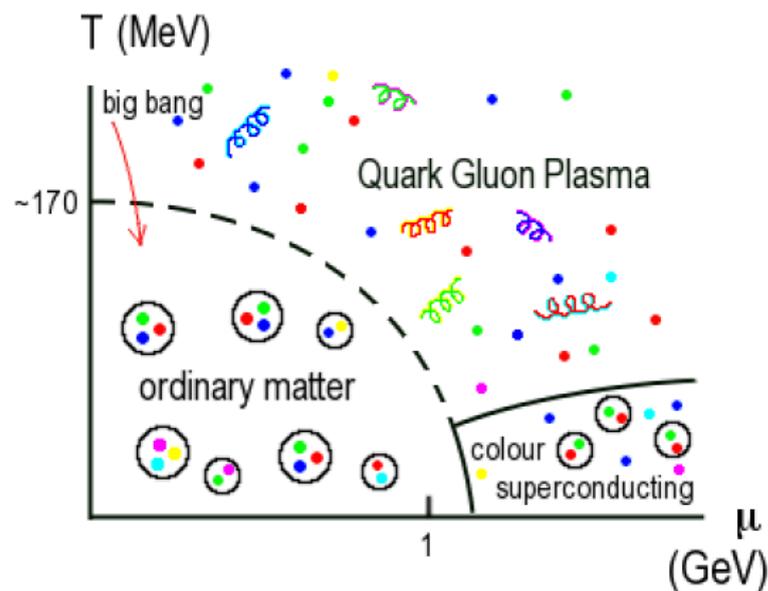
## How do we compare phase diagrams at weak and strong coupling?

- The phase diagram of  $SU(N)$  gauge theories at weak coupling can be obtained by perturbation theory on small volume manifolds such as the sphere  $[S^1 \times S^3]$ .
- This can be compared to the phase diagram at strong coupling obtained using lattice gauge theory simulations on large volumes such as the torus with one shorter dimension  $[S^1 \times \mathbb{R}^3]$ .

## Example: adjoint QCD

- Why useful?: Learn about QCD at large  $N$  from adjoint QCD.
  - orientifold planar equivalence: The large  $N$  equivalence of (Armoni, Shifman, Veneziano) QCD(AS/S) and adjoint QCD
  - large  $N$  reduction: (Kovtun, Unsal, Yaffe) Volume independence within adjoint QCD
- How?: Formulating adjoint QCD on the sphere.
- Compare: Results for the phase diagram of adjoint QCD as a function of volume and fermion mass. Comparison of perturbation theory and lattice results.

# Why not just study QCD directly?



QCD phase diagram. The natural state of ordinary terrestrial matter is one in which quarks and gluons are confined.

- **Confined phase**  
Quarks and gluons are bound into hadrons.
- **Deconfined phase**  
Quarks and gluons are free in the QGP.
- **Superconducting phases**  
Cooper pairing of quarks occurs at neutron star densities.

# The coupling strength in QCD

Euclidean spacetime QCD partition function:

$$Z_{\text{QCD}} = \text{Tre}^{-\beta H} = \int \mathcal{D}A \mathcal{D}\bar{\psi} \mathcal{D}\psi e^{-\int_0^\beta d\tau \int d^3\mathbf{x} \mathcal{L}_{\text{QCD}}}$$

Lagrangian:

$$\mathcal{L}_{\text{QCD}} = \frac{1}{4g^2} \text{Tr}_F (F_{\mu\nu} F_{\mu\nu}) + \bar{\psi} (\not{D} + M - \gamma_4 \mu) \psi$$

running coupling strength:

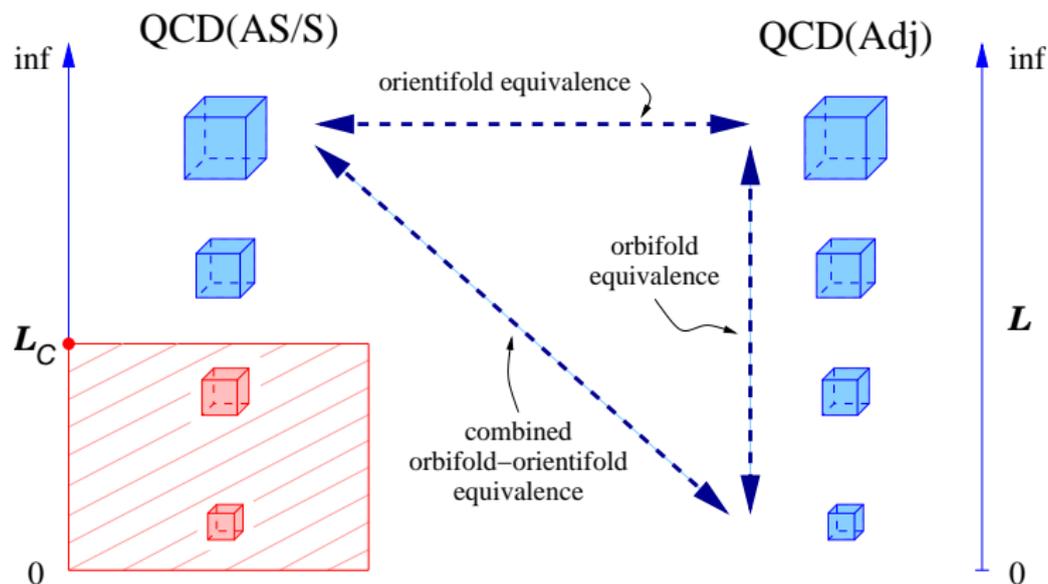
$$g^2(k) = \frac{g^2(k_0)}{1 + \frac{g^2(k_0)}{(4\pi)^2} \left( \frac{11}{3} N - \frac{2}{3} N_f \right) \ln(k^2/k_0^2)}$$

In QCD  $N = 3$  and  $N_f = 6$ :

- $g(k) \uparrow$  as  $k \downarrow$ 
  - ▶ strong coupling at low energies (large distances)
  - ▶ **Perturbation theory is not valid in the low energy confined phase of QCD**

# What to do?

- Lattice gauge theory works regardless of the coupling strength.
- However, simulations can be computationally expensive.
- Implement shortcut:



Kovtun, Unsal and Yaffe: "Volume independence in large  $N_c$  QCD-like gauge theories" (hep-th/0702021).

## Conditions for equivalence

Orientifold equivalence: Charge conjugation symmetry cannot be broken in QCD(AS/S).

Orbifold equivalence: (volume independence) Adjoint QCD, formulated on both volumes, must have  $Z(N)$  symmetry intact.

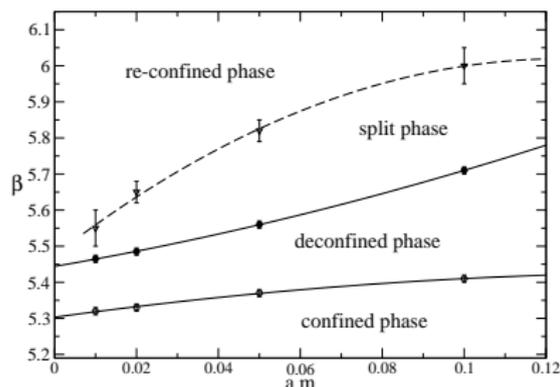
- $Z(N)$  symmetry breaking is realized in terms of the Polyakov loop order parameter  $P = e^{\beta A_0}$ :

In the confined phase  $\text{Tr}P = \text{Tr}(zP) = 0$ , where  $z \in Z(N)$ .

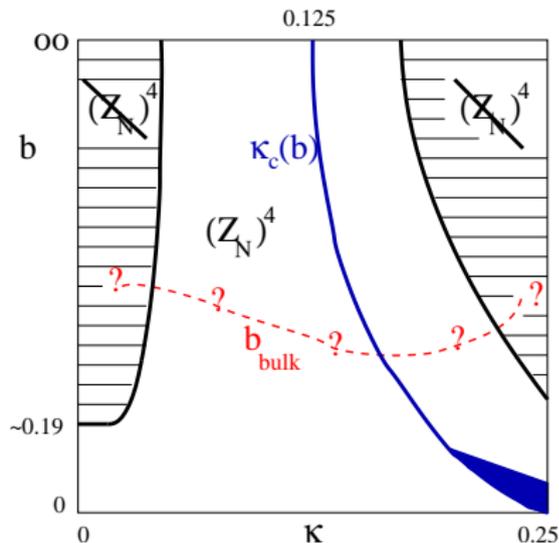
In the deconfined phase  $\text{Tr}P \neq \text{Tr}(zP) \neq 0$ .

## Relevant checks from the lattice

- Orientifold planar equivalence: DeGrand, Hoffmann, Schaefer, and Liu (2006) calculated the quark condensate in one-flavour QCD and found it to agree with the SYM prediction.
- Cossu and D'Elia (2009), Bringoltz and Sharpe (2009) performed lattice simulations of adjoint QCD, but found potentially contradictory results.



Cossu and D'Elia 0904.1353



Bringoltz and Sharpe 0906.3538

## Perturbative check?

- Can formulate adjoint QCD on a finite volume to access the Yang-Mills deconfinement transition in the large  $N$  limit (Aharony et al (hep-th/0310285)).
- adding massive fermions should allow for comparison with lattice results, using the knowledge that  $\beta \sim 1/L_{S^1}$ .

# Region of validity of 1-loop calculations

Properties of  $SU(N)$  gauge theories on  $S^1 \times S^3$

- Valid for  $\min[R_{S^1}, R_{S^3}] \ll \Lambda_{QCD}^{-1}$

- ▶ Small  $S^1$ :

- ★ Good: Allows study at any  $N$  and in the limit of large 3-volume.

$\mathbb{R}^3 \times S^1$ : YM/QCD:  $m = 0, \mu = 0$ : Gross, Pisarski, Yaffe (Rev.Mod.Phys.53:43,1981),  $\mu \neq 0$ : Korthals

Altes, Pisarski and Sinkovics (hep-ph/9904305),  $m \neq 0$ : Meisinger and Ogilvie (hep-ph/0108026),

QCD(Adj/AS/S):  $m = 0, \mu = 0$ : Unsal and Yaffe (hep-th/0608180),  $m \neq 0$ : Myers and Ogilvie (arXiv:0903.4638)

- ★ Bad: Have to be in the limit of high temperatures (or small  $S^1$ )

- ▶ Small  $S^3$ :

- ★ Good: Allows study at any temperature (or any  $S^1$ ).

$S^3 \times S^1$ : YM: Aharony et al (hep-th/0310285), QCD(Adj/AS/S):  $m = 0$ : Hollowood and Naqvi (hep-th/0609203), Unsal (hep-th/0703025),  $m \neq 0$ : Hollowood and Myers (arXiv:0907.3665)

- ★ Bad: Must be in small 3-volume. Finite  $N$  studies are more complicated.

# 1-loop Lagrangian

$$\begin{aligned}\mathcal{L}_E = & -\frac{1}{2}\bar{A}_0^a(\tilde{D}_0^2(a) + \Delta^{(s)})\bar{A}_0^a - \frac{1}{2}B_i^a(\tilde{D}_0^2(a) + \Delta^{(v,T)})B_i^a \\ & - \frac{1}{2}C_i^a(\tilde{D}_0^2(a) + \Delta^{(v,L)})C_i^a - \bar{c}(\tilde{D}_0^2(a) + \Delta^{(s)})c + \bar{\psi}(\not{D}_A(a) + m)\psi\end{aligned}$$

where

$$A_i = B_i + C_i.$$

- $B_i =$  transverse:  $\nabla_i B_i = 0$
- $C_i =$  longitudinal:  $C_i = \nabla_i f$

and

$$\tilde{D}_0 \equiv \partial_0 + \alpha^a T_A^a$$

where  $\alpha$  is the only zero mode

$$\alpha \equiv \frac{1}{\text{Vol}(S^1 \times S^3)} \int_{S^1 \times S^3} d\tau d^3x A_0(\mathbf{x})$$

## 1-loop partition function

The partition function, at one loop:

$$Z = \det_{l=0}^{1/2}(-\tilde{D}_0^2(a) - \Delta^{(s)}) \det^{-1}(-\tilde{D}_0^2(a) - \Delta^{(v,T)}) \det^{N_f/4}(-\not{D}^2(a) - \Delta^{(f)})$$

Eigenvalues and degeneracies of Laplacians on  $S^3$ :

$$\Delta^{(type)} \Omega_{j,l,m_1,m_2}(\theta_1, \dots, \theta_3) = -\varepsilon_l^{(type)2} \Omega_{j,l,m_1,m_2}(\theta_1, \dots, \theta_3)$$

Example: scalars

$$\varepsilon_l^{(s)2} = l(l+2)/R^2$$

$$d_l^{(s)} = (l+1)^2$$

for scalars and spinors  $l = 0, 1, \dots$ ,

for vectors  $l = 1, 2, \dots$

## 1-loop partition function: $S^1$ contribution

The eigenvalues of the Dirac operator can be computed in frequency space in terms of the Matsubara frequencies:

$$\tilde{D}_0 \rightarrow i\omega_n^+ + \alpha$$

where the Matsubara frequencies are

$$\omega_n^+ = 2n\pi/L$$

We define the Polyakov loop:

$$P(\vec{x}) = \mathcal{P} e^{\int_0^L d\tau A_0(x)} = e^{L\alpha} = \text{diag}\{e^{i\theta_1}, \dots, e^{i\theta_N}\}$$

## one-loop effective action

To get the effective action we need the  $\ln Z$ . Calculating the sum over Matsubara frequencies and simplifying one can show that

$$\mathrm{Tr}_A \sum_{l=0}^{\infty} \ln \left( -\tilde{D}_0^2 + \varepsilon_l^2 \right) = \sum_{l=0}^{\infty} d_l \left[ L\varepsilon_l - 2 \sum_{n=1}^{\infty} \frac{1}{n} e^{-nL\varepsilon_l} \mathrm{Tr}_A P^n \right]$$

for the fermion contribution:

$$\varepsilon_l^{(f)} \rightarrow \omega_l = \sqrt{\varepsilon_l^{(f)2} + m^2}$$

Effective action is:

$$\begin{aligned} S_{1-loop} &= -\ln Z \\ &= \sum_{n=1}^{\infty} \frac{1}{n} \left[ 1 - \sum_{l=0}^{\infty} d_l^{(v,T)} e^{-nL\varepsilon_l^{(v,T)}} + 2N_f \sum_{l=0}^{\infty} d_l^{(f)} e^{-nL\omega_l^{(f)}} \right] \mathrm{Tr}_A(P^n) \end{aligned}$$

## 1-loop effective action in Yang-Mills theory [Aharony et al (hep-th/0310285)]

In terms of the Polyakov loop  $P = \text{diag}\{e^{i\theta_1}, \dots, e^{i\theta_N}\}$  the effective action is

$$\begin{aligned} S(P) &= \sum_{n=1}^{\infty} \frac{1}{n} (1 - z_v(nL/R)) \text{Tr}_A P^n \\ &= \sum_{n=1}^{\infty} \frac{1}{n} (1 - z_v(nL/R)) \sum_{i,j=1}^N \cos[n(\theta_i - \theta_j)] \end{aligned}$$

where

- $L$  is the length of  $S^1$
- $R$  is the radius of  $S^3$

$$\begin{aligned} z_v(nL/R) &= \sum_{l=0}^{\infty} d_l^{(v,T)} e^{-nL\varepsilon_l^{(v,T)}} \\ &= \sum_{l=0}^{\infty} 2l(l+2) e^{-nL(l+1)/R} \end{aligned}$$

The weak-coupling analogue of the deconfinement transition temperature can be calculated in the large  $N$  limit. It is  $T_d R \simeq 0.759$  or  $L_d/R \simeq 1.317$ .

## 1-loop effective action: $SU(N)$ gauge theories + fermions

The effective action for  $N_f$  **Dirac** flavours of fermions in representation  $R$  with mass  $m$  and chemical potential  $\mu$  is

$$S(P) = \sum_{n=1}^{\infty} \frac{1}{n} (1 - z_v(nL/R)) \text{Tr}_A P^n \\ + 2 \sum_{n=1}^{\infty} \frac{(\pm 1)^n}{n} N_f z_f(nL/R, mR) \left[ e^{nL\mu} \text{Tr}_R(P^{\dagger n}) + e^{-nL\mu} \text{Tr}_R(P^n) \right]$$

where

$$z_f(nL/R, mR) = 2 \sum_{l=1}^{\infty} l(l+1) e^{-nL\sqrt{(l+1/2)^2 + m^2 R^2}/R}$$

The effective action for  $N_f$  **Majorana** flavours of adjoint fermions with mass  $m$  and  $\mu = 0$  simplifies to

$$S(P) = \sum_{n=1}^{\infty} \frac{1}{n} (1 - z_v(nL/R) + N_f z_f(nL/R, mR)) \sum_{i,j=1}^N \cos[n(\theta_i - \theta_j)]$$

## Large $N$ limit

In the limit of large  $N$  it is helpful to consider the distribution of the Polyakov loop eigenvalues around the circle (following [Aharony et al hep-th/0502149](#)):

$$Z(L/R) = \int [d\theta] e^{-\sum_{n=1}^{\infty} \frac{1}{n}(1-z_v(nL/R)+N_f z_f(nL/R, mR)) |\text{Tr} P^n|^2}$$

Take:

$$\rho_n \equiv \int e^{in\theta} \rho(\theta) d\theta = \frac{1}{N} \text{Tr}(P^n), \quad \rho(\theta) = \frac{1}{N} \sum_{i=1}^N \delta(\theta - \theta_i),$$

$$f(nL/R, mR) \equiv (1 - z_v(nL/R) + N_f z_f(nL/R, mR)).$$

Then

$$Z(L/R) = \int d\rho_n d\bar{\rho}_n e^{-N^2 \sum_{n=1}^{\infty} \frac{1}{n} f_n |\rho_n|^2}.$$

When  $N$  is large the path integral can be solved using the saddle point approximation.

## Phases of large $N$

$$Z(L/R) = \int d\rho_n d\bar{\rho}_n e^{-N^2 \sum_{n=1}^{\infty} \frac{1}{n} f_n |\rho_n|^2}.$$

Fourier analyze the density:

$$\rho(\theta) = \frac{1}{2\pi} \sum_{n=-\infty}^{\infty} \rho_n e^{in\theta}$$

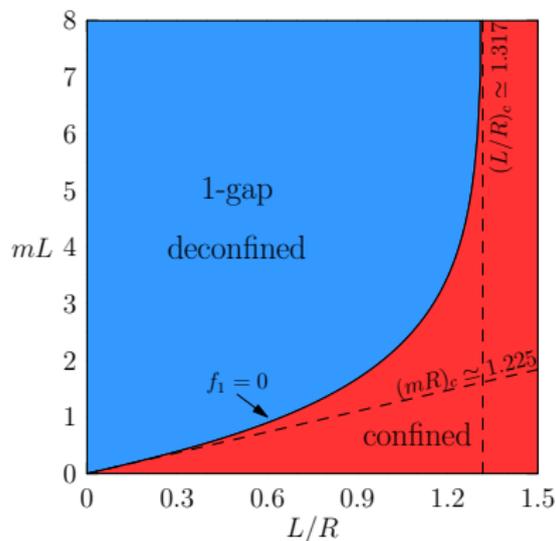
where  $\rho_0 = 1$ , and  $\rho_n^* = \rho_{-n}$ .

Phases:

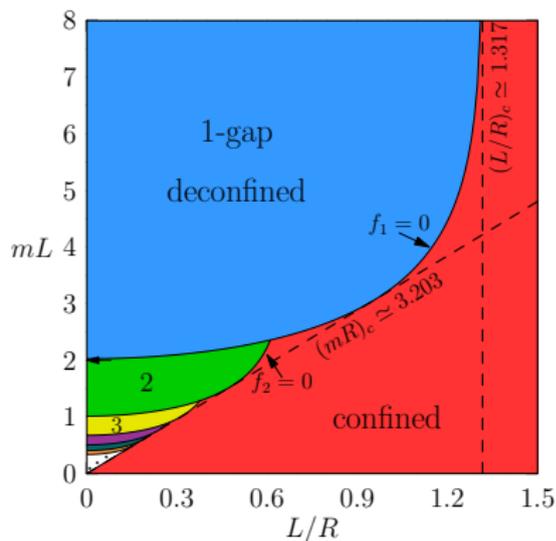
Confined:  $f_n > 0$  for all  $n$ ,  $\rho_n = \frac{1}{N} \text{Tr} P^n = 0$ .

k-gap:  $f_k < 0$ ,  $\rho_k = \frac{1}{N} \text{Tr} P^k \neq 0$ , but  $\text{Tr} P^l = 0$  for  $\text{mod}[l, k] \neq 0$ .

## Large N: Phase diagram in $(L/R, mL)$ plane



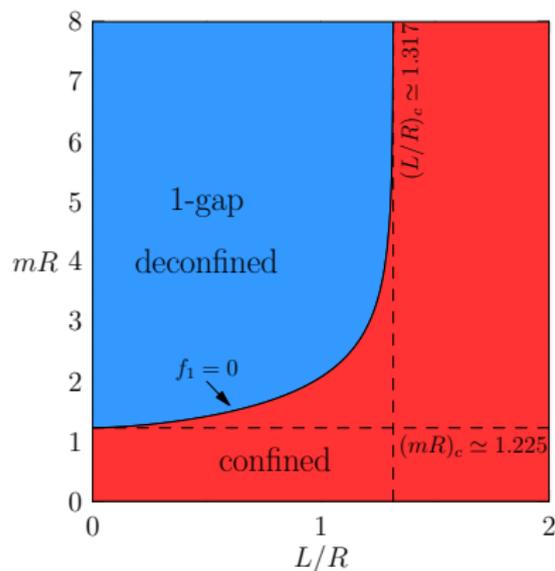
$$N_f^M = 1$$



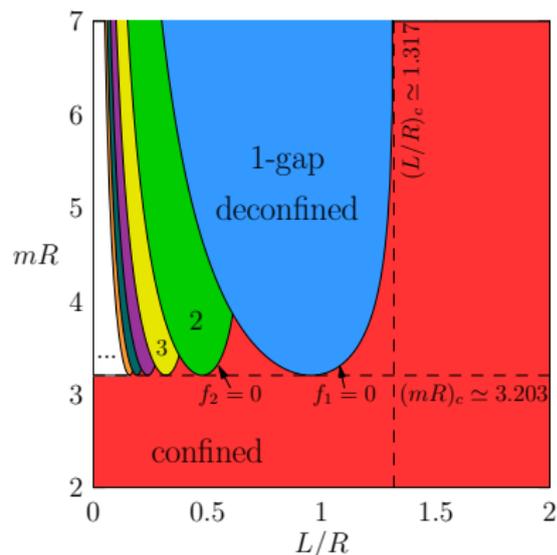
$$N_f^M = 2$$

- The confined phase persists without phase transition for all  $L/R$  below a critical mass  $(mR)_c$  which increases with  $N_f$ .
- For  $N_f \geq 2$  partially confined phases become possible.

## Large N: Phase diagram in $(L/R, mR)$ plane



$$N_f^M = 1$$



$$N_f^M = 2$$

- The confined phase is only transition-free below  $(mR)_c$ , and for  $L/R > 1.317$ .
- For  $N_f \geq 2$  the confined phase at small  $L/R$  and  $mR > (mR)_c$  the confined phase is pushed all the way to the  $(mR)$ -axis.

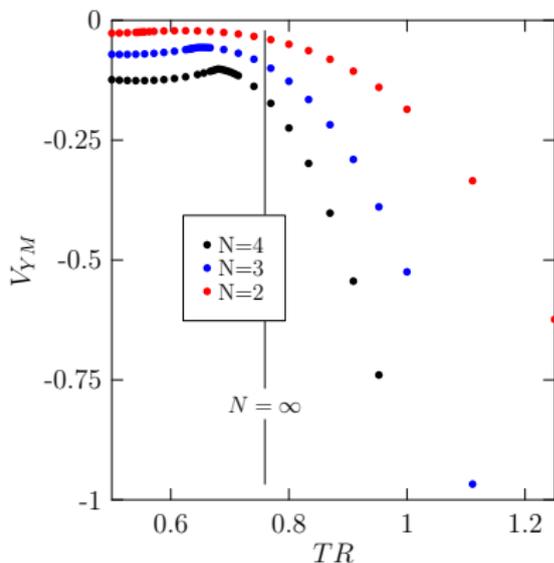
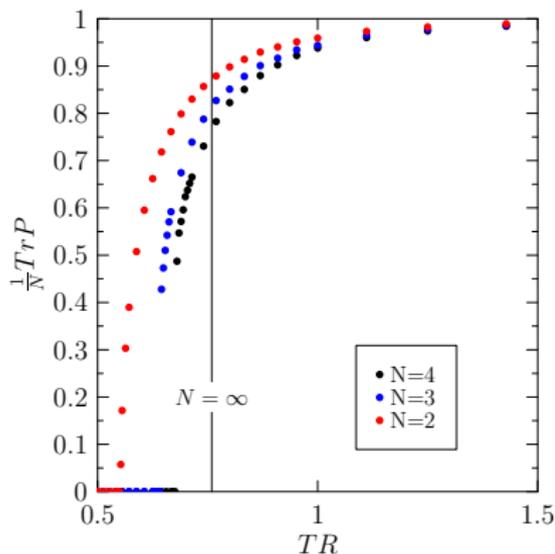
## Finite $N$

- The phase diagram can also be calculated at finite  $N$  by numerically minimizing the effective action directly (the saddle-point approximation is only valid for large enough  $N$ ).
- Expectation values of observables can also be computed exactly by performing the integrals over the gauge fields. This serves as a useful check, but not used as the primary technique since there is no suitable observable to distinguish the  $k$ -gap phases:  $\langle \text{Tr}P \rangle = 0$  in all phases.

The finite  $N$  phase diagram is useful for several reasons

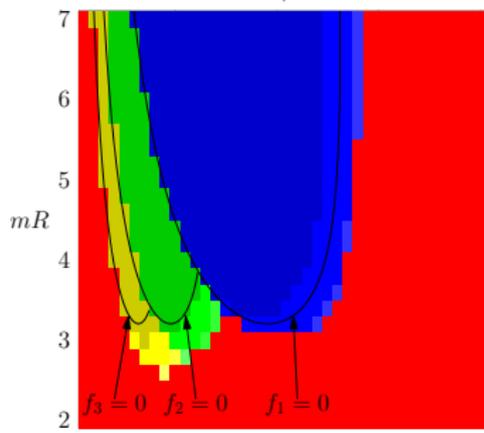
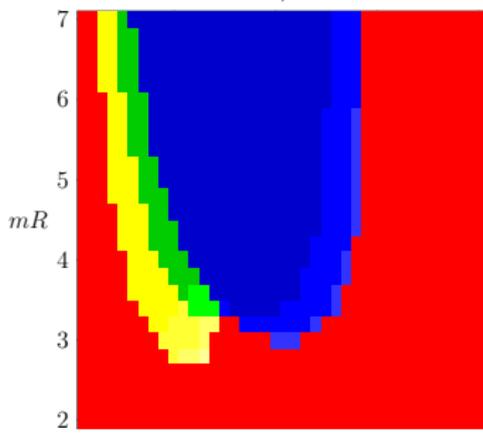
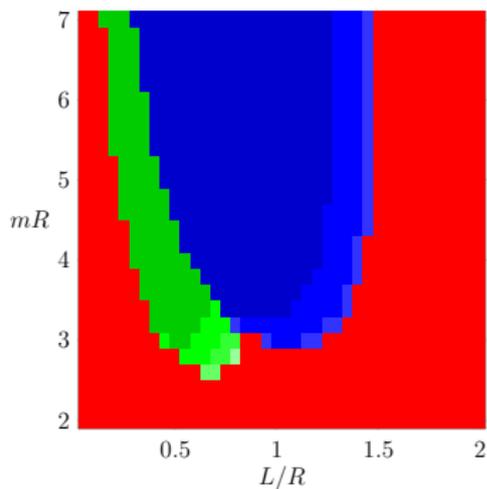
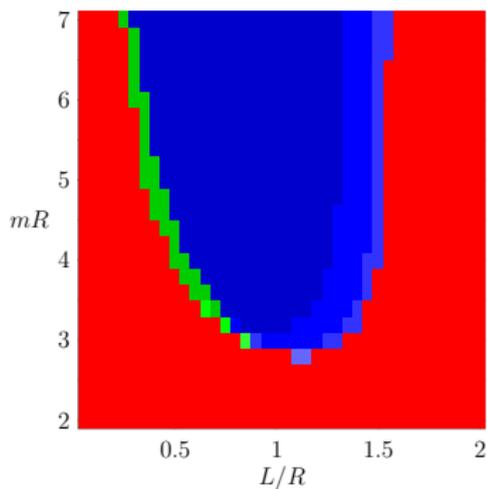
- 1 We can determine how the large  $N$  limit is approached and perhaps show when the saddle point approximation becomes valid.
- 2 It is possible to compare with finite  $N$  results on  $\mathbb{R}^3 \times S^1$  by taking the limit  $L/R \rightarrow 0$ .
- 3 The phase diagram at finite  $N$  and finite volume can be compared more easily with lattice results.

# Finite N: Yang-Mills theory

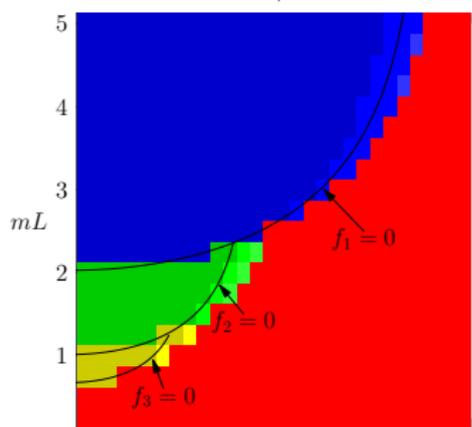
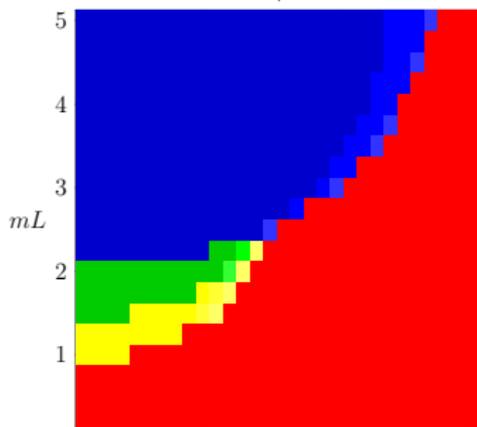
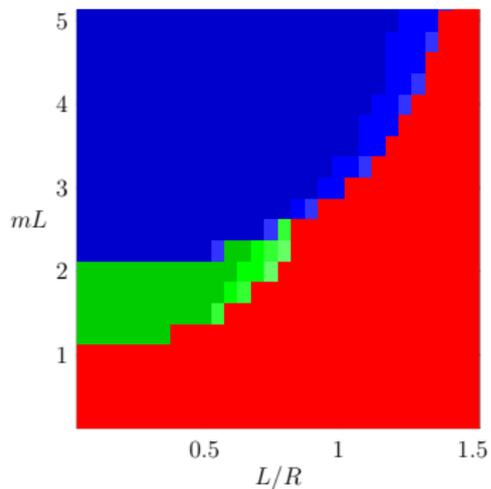
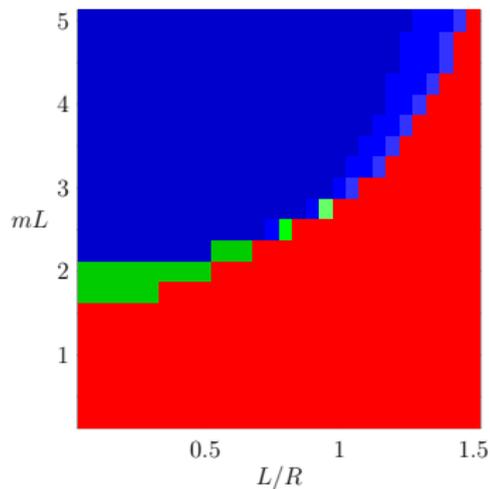


- Even for  $N = 2$ , the weak-coupling deconfinement transition is clearly indicated by the discontinuity in  $\text{Tr} P$ .
- The transition increases in sharpness as  $N$  is increased. The formation of a discontinuity in the free energy that is sharpened with increasing  $N$  is consistent with a first order phase transition in the large  $N$  limit.

# $N = 3, 4, 5, 6$ : Phase diagram in $(L/R, mR)$ plane



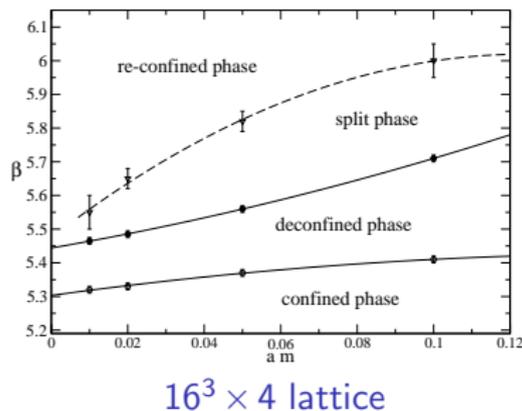
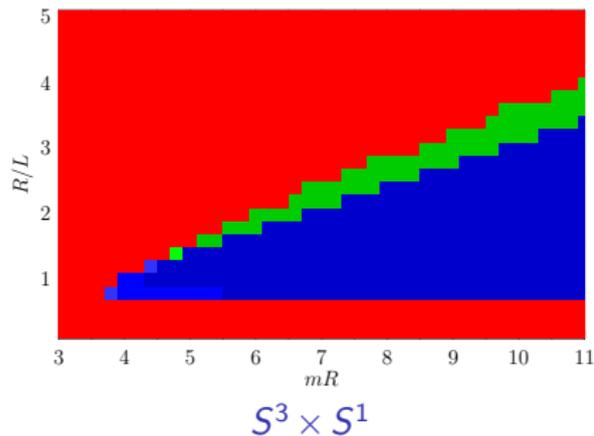
# $N = 3, 4, 5, 6$ : Phase diagram in $(L/R, mL)$ plane



## Comparison with $N = 3$ lattice results of

Cossu and D'Elia (arXiv:0904.1353)

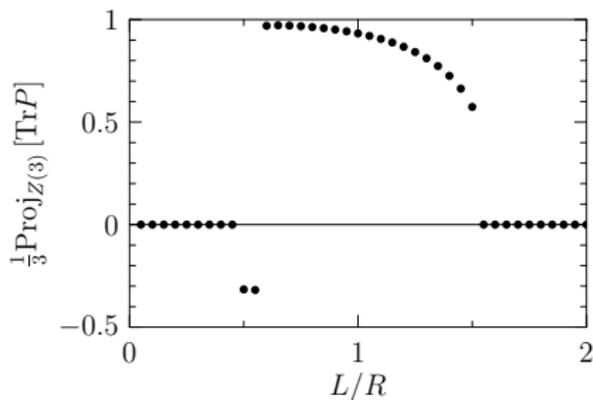
Thanks go to Cossu and D'Elia for allowing us to show their figures.



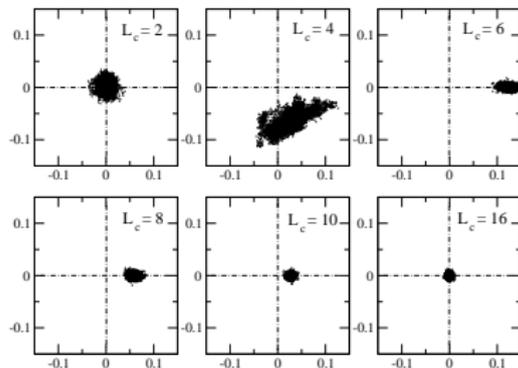
In the  $S^3 \times S^1$  result the confined phase passes through for small enough  $mR$  as  $R/L$  is increased. This is unclear for the lattice results. It might be that the deconfined phase drops down to lower values of  $mR$  for larger coupling, or it could be that the chiral limit has not been reached in the simulations.

# Comparison of the Polyakov loop order parameter

For a fixed value of the fermion mass, we can also compare the behaviour of the Polyakov loop with [Cossu and D'Elia \(arXiv:0904.1353\)](#):



$S^3 \times S^1$ ,  $mR = 6$



$16^3 \times L_c$  lattice,  $ma = 0.10$

- At a qualitative level the behaviour of the Polyakov loop appears to agree for all  $L/R$ , even within the deconfined phase.

# Conclusions

- The phase diagram of adjoint QCD on  $S^3 \times S^1$  has a rich phase structure.
- This phase diagram can be calculated at large  $N$  limit using the saddle point approximation. The confined phase is transition free for all  $L/R$  if  $mR$  is below a critical value which increases with  $N_f$ .
- At finite  $N$  the partition function can be evaluated numerically to get a phase diagram which agrees with the  $\mathbb{R}^3 \times S^1$  result in the  $L/R \rightarrow 0$  limit. It also approaches the large  $N$  result, and closely resembles the lattice result of Cossu and D'Elia.

## Future work

A similar analysis can be performed for other gauge theories. One can consider:

- different fermion representation
- different gauge field representation
- adding scalar fields
- different boundary conditions
- incorporating finite chemical potential
- other manifolds (eg. torus)