

# Why (your) mass isn't gauge dependent

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Nielsen Identities

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# Apologies and some justifications

- It's been a *VERY* long time since I've done PPT related stuff..

The topic is something that has been picked over for many years

- The Higgs (particle) is back on the agenda

# Plan of Talk

- Symmetry breaking

Higgs mechanism

Quantum corrections, gauge fixing, effective potential

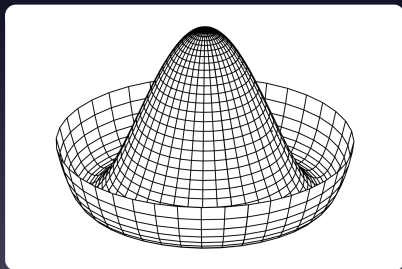
- The problem (and the solution)
- Other models - Standard, gravity...

# Form of Potential

Spontaneously broken symmetry

$$V(|\phi|^2) = -\frac{1}{2}\mu^2|\phi|^2 + \frac{\lambda}{4!}|\phi|^4$$

$$\phi = (\phi_1 + i\phi_2)$$



# Goldstone bosons and all that

- Complex scalar field theory

$$L(x) = \frac{1}{2} \partial_\mu \phi \partial^\mu \phi^* - V(|\phi|^2)$$

Minimum:  $\phi_0 = \left(\frac{6\mu^2}{\lambda}\right)^{1/2}$

- Take:  $\phi(x) = \phi_0 + \phi_1(x) + i\phi_2(x)$
- Lagrangian:  $\frac{1}{2}(\partial_\mu \phi_1)^2 - \mu^2 \phi_1^2 + \frac{1}{2}(\partial_\mu \phi_2)^2 + \dots$

# Abelian Higgs

- Scalar electrodynamics - Lagrangian

$$L(x) = -\frac{1}{4}F_{\mu\nu}F^{\mu\nu} + \frac{1}{2}D_{\mu}\phi_i D^{\mu}\phi_i - V(\phi^2)$$

$$F_{\mu\nu} = \partial_{\mu}A_{\nu} - \partial_{\nu}A_{\mu} \quad , \quad \phi^2 = \phi_1^2 + \phi_2^2$$

$$D_{\mu} = \partial_{\mu} + ieA_{\mu}$$

- Same symmetry breaking as before

$$\frac{1}{2}(\partial_{\mu}\phi_1)^2 - \mu^2\phi_1^2 + \frac{1}{2}(\partial_{\mu}\phi_2)^2 + \frac{1}{2}e^2\phi_0^2 A_{\mu}A^{\mu} + e\phi_0 A^{\mu}\partial_{\mu}\phi_2 + \dots$$

# Abelian Higgs II

- $\phi_2$  is surplus to requirements
- Gauge invariance to the rescue

$$\begin{aligned}\phi(x) &= \phi(x) \exp[i\theta(x)] \\ A_\mu(x) &= A_\mu(x) + \partial_\mu\theta(x)\end{aligned}$$

- Unitary gauge

$$\phi(x) = v + \phi_1(x)$$

- $\phi_2$  is “gauged away”

# Quantum Abelian Higgs

Scalar electrodynamics - Lagrangian in gory detail

$$-\frac{1}{4}F_{\mu\nu}F^{\mu\nu} + \frac{1}{2}\partial_{\mu}\phi_i\partial^{\mu}\phi_i - e\epsilon_{ij}(\partial_{\mu}\phi_i)\phi_j A^{\mu} + \frac{1}{2}e^2 A_{\mu}A^{\mu}\phi^2 + V(\phi^2)$$

$$F_{\mu\nu} = \partial_{\mu}A_{\nu} - \partial_{\nu}A_{\mu} \quad , \quad \phi^2 = \phi_1^2 + \phi_2^2$$

$$\epsilon_{ij} = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$$



# Gauge fixing/regularization

- Add ( $R_\xi$ ) gauge fixing terms

$$-\frac{1}{4}F_{\mu\nu}F^{\mu\nu} + \frac{1}{2}\partial_\mu\phi_i\partial^\mu\phi_i - e\epsilon_{ij}(\partial_\mu\phi_i)\phi_j A^\mu + \frac{1}{2}e^2 A_\mu A^\mu \phi^2 + V(\phi^2)$$
$$+ \frac{1}{2}\xi B^2 + B(\partial_\mu A^\mu + e\xi v_i\phi_i) + \partial_\mu\psi^*\partial^\mu\psi - e^2\xi\psi^*\psi\epsilon_{ij}v_i\phi_j$$

- Use dimensional regularization/ $\overline{\text{MS}}$  subtraction
- $v_i = \epsilon_{ij}\phi_{i0}$  is 't Hooft gauge

# The usual setup

- Functional integral

$$Z[J] = \int [D\Phi] \exp \left( i \int d^4x [L(x) + J\Phi] \right)$$

Connected generating functional

$$W[J] = -i\hbar \ln Z[J]$$

- One particle irreducible generating functional

$$\Gamma[\bar{\Phi}] = W[J] - J\bar{\Phi}$$

# Feynman diagrams 0

Some useful definitions before we start...

$$v_i = v e_i \quad , \quad e_i = (0, 1)$$

$$\phi_{i0} = \phi_0 \eta_i \quad , \quad \eta_i = (1, 0)$$

$$m_1^2 = \frac{1}{2} \lambda \phi^2 - \mu^2$$

$$m_2^2 = \frac{1}{6} \lambda \phi^2 - \mu^2$$

$$D_N = k^4 - k^2(m_2^2 - 2e^2\xi v\phi) + e^2\phi^2(e^2\xi^2v^2 + \xi m_2^2)$$

# Feynman diagrams I

Propagators for the theory

Ghost:

$$\frac{i}{k^2 - e^2 \epsilon_{ij} \xi v_i \phi_j}$$

Scalar:

$$\frac{i(k^2 - \xi e^2 \phi^2)}{D_N} (\delta_{ij} - \eta_i \eta_j) + \frac{i \eta_i \eta_j}{k^2 - m_1^2}$$

Gauge:

$$-iC \left( g_{\mu\nu} - \frac{k_\mu k_\nu}{k^2} \right) - iD \frac{k_\mu k_\nu}{k^2}$$

$$C = \frac{1}{k^2 - e^2 \phi^2}, \quad D = \frac{\xi(k^2 - m_2^2 - e^2 \xi v^2)}{D_N}$$

# Feynman diagrams II

Propagators in the 't Hooft gauge

Ghost:

$$\frac{i}{k^2 - e^2 \xi \phi^2}$$

Scalar:

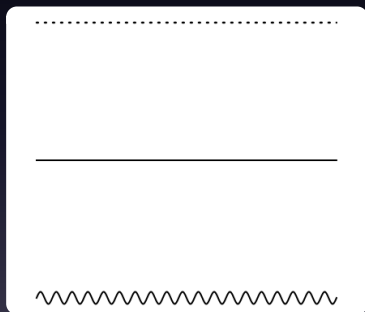
$$\frac{i}{(k^2 - m_2^2 - \xi e^2 \phi^2)} (\delta_{ij} - \eta_i \eta_j) + \frac{i \eta_i \eta_j}{k^2 - m_1^2}$$

Gauge:

$$-i \frac{1}{k^2 - e^2 \phi^2} \left( g_{\mu\nu} - \frac{k_\mu k_\nu}{k^2} \right) - i \frac{\xi}{(k^2 - e^2 \xi \phi^2)} \frac{k_\mu k_\nu}{k^2}$$

# Feynman diagrams III

Graphically:



# Feynman diagrams IV

Vertices for the theory

Scalar/Scalar/Gauge:

$$-e\epsilon_{ij}(k_1 + k_2)^\mu$$

Scalar/Ghost/Ghost:

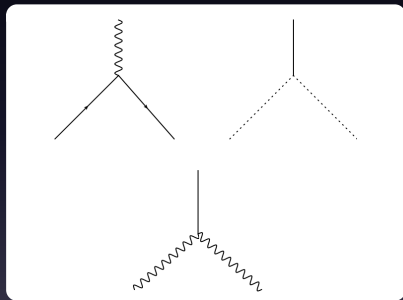
$$-ie^2\xi v_i\epsilon_{ij}$$

Scalar/Gauge/Gauge:

$$2ie^2\phi_i g_{\mu\nu}$$

# Feynman diagrams V

Vertices for the theory





# Effective Potential I

- Quantum equivalent of classical potential

Should use this to discuss corrections to classical symmetry breaking picture

- Done perturbatively using loop (i.e.  $\hbar$ ) expansion

$$V = i \sum_n \frac{1}{n!} \Gamma_{i_1 \dots i_n}(0, \dots, 0) \phi_{i_1} \cdots \phi_{i_n}$$

# Effective Potential II

$$V^{(1)} = i \int d^4k \left[ \ln(k^2 + e^2 \xi v \phi) - \frac{3}{2} \ln(-k^2 + e^2 \phi^2) - \frac{1}{2} \ln(k^2 - m_1^2) - \frac{1}{2} \ln D_N \right]$$

$$\xi \frac{\partial V^{(1)}}{\partial \xi} = -\frac{1}{2} i e \xi \phi m_2^2 \int d^4k \frac{(2v + \phi)k^2 - v \xi e^2 \phi^2}{(k^2 + e^2 \xi v \phi) D_N}$$

Ugly, gauge parameter dependent

# And the mass too...

- Masses, 2nd derivatives of (effective) potentials

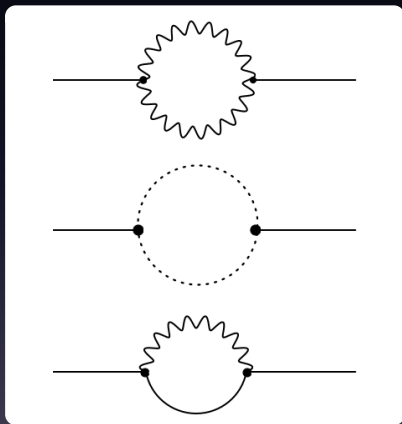
Choose  $\lambda \sim O(e^4)$  (Coleman-Weinberg)

$$m^{2(1)} = m_1^2 + \Sigma^{(1)}(0) + m_1^2 \frac{\partial \Sigma^{(1)}(p^2)}{\partial p^2}$$

$$m_1^2 = \frac{1}{2} \lambda \phi^2 - \mu^2$$

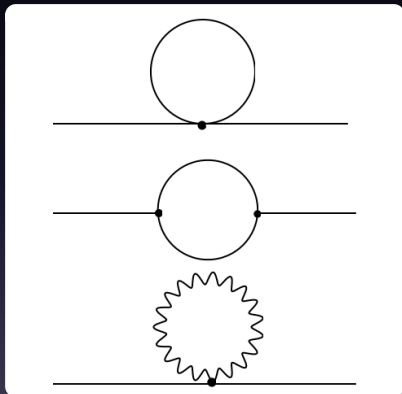
# Included Diagrams

Work that can't be avoided



# Excluded Diagrams

Work that *can* be avoided



# And finally...

Maybe not so ugly, but still gauge parameter dependent:

$$\xi \frac{\partial m^{2(1)}}{\partial \xi} = \frac{e^2 \xi \lambda \phi_0^2}{32\pi^2} \ln \left[ \frac{e^2 \xi \phi_0^2}{M^2} \right]$$

One loop correction to effective potential and Higgs mass look like they depend on  $\xi$

# What's going on here?

- Effective potential and mass shouldn't be gauge parameter dependent

Nielsen (1975) - don't forget about *implicit* dependence

All would be well if

$$\xi \frac{\partial V}{\partial \xi} + C(\phi, \xi) \frac{\partial V}{\partial \phi} = 0$$
$$\xi \frac{\partial m^2}{\partial \xi} + C(\phi, \xi) \frac{\partial m^2}{\partial \phi} = 0$$

# What's going on here?

- Why is this a fix?
- Minimum of effective potential at some  $\bar{\phi}$

$$\frac{\partial V(\phi, \xi)}{\partial \phi} = 0$$

$V_{min}$  stays the same under  $\xi \rightarrow \xi + d\xi$

$$V(\bar{\phi} + \delta\bar{\phi}, \xi + d\xi) = V(\bar{\phi}, \xi) = V_{min}$$

$$\frac{\partial V}{\partial \xi} + \frac{d\bar{\phi}}{d\xi} \frac{\partial V}{\partial \bar{\phi}} = 0$$



# Nielsen Identities

- The man himself N. K. Nielsen - not H. B. Nielsen



- Proved requisite identities exist using BRST symmetry

# (Standard) BRST symmetry I

“Modern” way of dealing with gauge invariance

Valid for the gauge-fixed Lagrangian

$$\delta^2 = 0$$

$$\delta\psi^* = \epsilon B \quad , \quad \delta\psi = 0 \quad , \quad \delta B = 0 \quad , \quad \delta A_\mu = \epsilon\partial_\mu\psi$$

$$\delta\phi_i = \epsilon\epsilon_{ij}\psi\phi_j$$

# (Standard) BRST symmetry II

- The BRST identities are encapsulated in:

$$S(\Gamma) = \int d^4x \left( \frac{\delta\Gamma}{\delta\rho^\mu} \frac{\delta\Gamma}{\delta A_\mu} + \frac{\delta\Gamma}{\delta K_i} \frac{\delta\Gamma}{\delta\phi_i} + \frac{\delta\Gamma}{\delta\sigma} \frac{\delta\Gamma}{\delta\psi} + B \frac{\delta\Gamma}{\delta\psi^*} \right) = 0$$

$\rho^\mu$ ,  $K_i$ ,  $\sigma$  sources for  $\delta A_\mu$ ,  $\delta\phi_i$  and  $\delta\psi$

- Nothing that looks like  $\frac{\partial\Gamma}{\partial\xi} \rightarrow \frac{\partial V}{\partial\xi}$
- Trick, Piguet and Sibold

# Extended BRST symmetry

- Introduce a BRST transform on the gauge *parameter*

$$\delta\xi = \epsilon\chi$$

This requires extra terms in the Lagrangian too

$$+\frac{1}{2}\chi\psi^*B + e\chi\psi^*v_i\phi_i$$

- The BRST identity now reads

$$S(\Gamma) + \chi\frac{\partial\Gamma}{\partial\xi} = 0$$

# Extended BRST symmetry II

- Differentiate w.r.t.  $\chi$ , substitute for  $B$ , set everything but  $\phi$  to zero

$$\int d^4x \left( \frac{\delta\Gamma(O(x))}{\delta K_i} \frac{\delta\Gamma}{\delta\phi_i} - \frac{e\xi v_i \phi_i}{\xi} \frac{\delta\Gamma(O(x))}{\delta\psi^*} \right) - \frac{\partial\Gamma}{\partial\xi} = 0$$

Take constant field limit

$$\xi \frac{\partial V}{\partial\xi} - \int d^4x \frac{\delta\Gamma(O(x))}{\delta K_i} \frac{\partial V}{\partial\phi} = 0$$

- Explicit expression for  $C(\phi, \xi)$

$$- \int d^4x \frac{\delta\Gamma(O(x))}{\delta K_i}$$

# Some observations

- We have seen that

$$\xi \frac{\partial V}{\partial \xi} + C(\phi, \xi) \frac{\partial V}{\partial \phi} = 0$$

is true

- Also true for other physical quantities *with the same*  $C(\phi, \xi)$
- Applies in non-Abelian theories (e.g. standard model)
- Also in gravity

# Matters of gravity...

- Suppose you are made of scalar, bosonic matter
- And you are happy to be described by 1-loop quantum gravity

$$L(x) = -\frac{2}{\kappa^2}\sqrt{-g}(R + \Lambda) + L_{gf} \\ + \sqrt{-g}[(\nabla_\mu\phi)(\nabla_\nu\phi)g^{\mu\nu} - \frac{1}{2}m^2\phi^2]$$

Then there is a Nielsen identity

$$\xi \frac{\partial m^2}{\partial \xi} + \eta^{\mu\nu} \tau(\phi, \xi) \frac{\partial m^2}{\partial h_{\mu\nu}} = 0$$

- Interpreted as

$$\begin{aligned} \xi &\rightarrow \xi + d\xi \\ \eta^{\mu\nu} &\rightarrow (1 + \tau d\xi)\eta^{\mu\nu} \end{aligned}$$

# Matters of higher derivative gravity I

- A renormalizable, asymptotically free theory of gravity exists (Stelle 1977)
- Unfortunately, it is also non-unitary

$$L(x) = \sqrt{-g} \left[ \frac{\gamma}{\kappa^2} R + \frac{\Lambda}{\kappa^4} + \beta R^2 - \frac{1}{\alpha^2} (R_{\mu\nu} R^{\mu\nu} - \frac{1}{3} R^2) \right]$$

- Spin two propagator

$$D(q^2) = \frac{2}{\alpha^2 \gamma} \left[ \frac{1}{q^2} - \frac{1}{q^2 - (\alpha^2 \gamma / \kappa^2)} \right]$$



# Matters of higher derivative gravity II

- Massive ghost decays
- General recipe

$$D(q^2) = (D_0(q^2)^{-1} - \Sigma(q^2))^{-1}$$

Large N

$$D(q^2) = \frac{2}{\alpha^2 \gamma} \left[ \frac{1}{q^2 [1 - ANq^2 \ln(q^2/L^2)]} \right]$$

- Poles at  $q^2 = 0$ ,  $q = r \exp(i\theta)$  with  $r \sim \gamma/N\kappa^2$
- Loop corrections  $\partial M^2/\partial \xi \neq 0$

# Summary

At first sight it looks as if physical quantities depend on gauge parameters in a loop expansion

They don't really, you are saved by:

$$\xi \frac{\partial V}{\partial \xi} + C(\phi, \xi) \frac{\partial V}{\partial \phi} = 0$$
$$\xi \frac{\partial m^2}{\partial \xi} + C(\phi, \xi) \frac{\partial m^2}{\partial \phi} = 0$$

- $C(\phi, \xi)$  explicitly calculable from (extended) BRST identities

# A reference or ten

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THE END :)