Probing the structural and electronic properties of zirconium doped boron clusters: Zr distorted \(B_{12}\) ligand framework

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As an extension of boron based materials, transition-metal doped boron clusters deserve interest in controlling size-dependent structural and electronic properties. Herein, using the Crystal structure Analysis by Particle Swarm Optimization (CALYPSO) method and density functional theory (DFT) calculations, we have performed a global search for the lowest-energy structures of \(\text{ZrB}_{n}^Q\) \((Q = 0, -1)\) clusters with \(n = 10–20\). The results show that the ground-state structures of the obtained clusters feature a distinctive structural evolution pattern, from half-sandwich bowl to distorted drum-like and then to Zr-centered distorted tubular motifs. For the sake of validating the current ground-state structures, photoelectron spectra are predicted from time-dependent DFT calculations. More interestingly, the neutral and anionic ZrB\(_{12}\) clusters are found to possess enhanced stability in the size regime studied here. The stability of the closed shell half-sandwich ZrB\(_{12}\) cluster is analyzed by intrinsic bond orbital (IBO) and Adaptive Natural Density Partitioning (AdNDP) methods, which indicates that the stability mechanism is caused by the dopant Zr atom breaking the boron bowl’s triangle B\(_3\) unit to form a quasi-linear B\(_3\) unit in B\(_{12}\) and strengthen both the interaction of the B–B \(\sigma\)-bonds and the Zr–B \(\pi\)-bonds.

1 Introduction

The structural and electronic properties of atomic and molecular clusters have received considerable attention in physics and materials science due, in part, to the fact that they constitute intermediate phases between individual atoms and a bulk solid.\textsuperscript{1,2} They can be used to understand how the fundamental properties of materials evolve from isolated atoms or small molecules to a bulk phase.\textsuperscript{2,7} Recent efforts have been made toward making clusters have received considerable attention in physics and quantum chemistry calculations have unveiled the most stable structures on their respective potential energy surfaces,\textsuperscript{4,5,7–10} which imply a large variety of novel building blocks to add to the known rich chemistry of size-selected boron clusters. The smallest doubly aromatic isomer, the triangular B\(_3^-\) species, possesses localized B–B \(\sigma\)-bonds and the Zr–B \(\pi\)-bonds may result in the planarity of the \(2v\) symmetry anionic B\(_7\) ligand.\textsuperscript{16} Larger perfectly planar \(C_{6v}\) symmetry anionic B\(_7^-\) cluster is akin to the B\(_3\) isomers, \textit{i.e.} doubly aromatic, while the unique umbrella-type structures of AlB\(_7^-\) and AlB\(_8^-\) are based on the enhanced stability of the quasi-planar B\(_7^-\) wheels.\textsuperscript{14,15} More recently, the lanthanide element Pr which has a very low electronegativity, forms a half-sandwich structure together with a B\(_7^-\) ligand.\textsuperscript{16} Larger perfectly planar molecular wheels with a high coordination number of the central boron atom (B\(_8^-\), B\(_9^-\), B\(_{10}^-\)) have been suggested as new inorganic ligands, and as robust and stable assembly building blocks.\textsuperscript{17,18} Subsequently, the molecular wheel M@B\(_8\) and M@B\(_9\) species have been synthesised, where M represents quite different doping atoms, such as C, Al, and TMs (transition-metals).\textsuperscript{14,19–22} The striking highly
stable aromatic quasi-planar B\textsubscript{12} and its corresponding anionic species possess a bowl structure, with a nine-membered outer ring and a B\textsubscript{3} triangle inner ring, and form the basis for the C\textsubscript{3v} half-sandwich M@B\textsubscript{12}⁻ (M = Co, Rh, Ir) structures.\textsuperscript{23,24} Moreover, suitably doped metal centers supported by the B\textsubscript{12} bowl structure can further bind with small molecules (CO, CO\textsubscript{2}, and N\textsubscript{2}) with high bond activation. Saha et al. tested M@B\textsubscript{12}⁻ (M = Co, Rh, Ir) clusters in chemical reactions with CO and N\textsubscript{2} as promising adsorbant candidates,\textsuperscript{25} which inspired searches for other reported appropriate metal bound boron ligands to bind various small molecules with strong bond activation.\textsuperscript{26} In addition, more complex boron-based ligands (B\textsubscript{16}⁺, B\textsubscript{18}⁻) have been reported in recent years, which lead to searches for novel nano-systems with tunable electronic, optical and other interesting properties.\textsuperscript{27–30}

The transition metal zirconium is chemical very resistive, and is mainly used as a refractor and an opacifier.\textsuperscript{31} Solid dodecaboride ZrB\textsubscript{12} is a superconductor, where covalent interactions in the B\textsubscript{12} cages may cause the dynamic stabilities and good mechanical properties promoting Zr-driven superconductivity.\textsuperscript{32–35} Therefore, if boron clusters are able to house a doped Zr atom to form stable systems, these novel building blocks could be used to manipulate various physicochemical properties of boron-based materials. This encouraged us to perform an extensive study on Zr-doped boron clusters, which by themselves could serve as new ligands to capture small gas molecules or become reaction centers and model catalysts.\textsuperscript{25,26} Consequently, we carried out unbiased structure searches to identify the most stable structures on the potential energy surfaces of differently charged zirconium doped boron clusters in the size range of n = 10–20 by the Crystal structure AnaLYsis by Particle Swarm Optimization (CALYPSO) method. Simulated PES spectra coupled with DFT calculations serve as an effective approach to obtain detailed information about the structure of predicted ZrB\textsubscript{n} clusters. We also calculated the corresponding electronic properties and deciphered the stabilization mechanism of the special clusters.

## 2 Computational method

In order to determine the low-lying isomers of ZrB\textsubscript{n} clusters, we have performed separate structure searches for neutral and anionic clusters for the size range of n = 10–20, using the particle swarm optimization (PSO) algorithm for cluster structure prediction\textsuperscript{36} as implemented in the CALYPSO code, details of which can be found elsewhere.\textsuperscript{36,37} Briefly, high search efficiency is achieved by several techniques included in the PSO algorithm, e.g. point group symmetry constraints on structural generation (a random choice from C\textsubscript{3v} to C\textsubscript{6v}) and utilizing bond characterization matrices (BCM) for the elimination of similar structures.\textsuperscript{35} Specifically, the symmetry constraints C\textsubscript{3} to C\textsubscript{6} are imposed both on the initial structures and also during the global search. In our structure searches, each generation contains 50 structures, 80\% of which are produced by the PSO algorithm (which are usually of high symmetry, being derived from the previous generation), whereas 20\% are new and generated randomly (including structures with symmetry ranging from C\textsubscript{3} to C\textsubscript{6}). The validity of the CALYPSO method has been demonstrated in successful applications ranging from clusters to crystal structure predictions.\textsuperscript{39–45}

In our structure searches, as the cluster size increases, determination of true global minima becomes increasingly challenging because of the much increased complexity of the potential surface and the exponential increase of the number of local minima. High-level ab initio calculations with a large basis set are required in the structure search to determine the true global minimum. However, with current computer facilities, high-level ab initio calculations with very large basis sets are still impractical for medium-sized clusters beyond n = 16. Here, we have adopted a compromise method in two stages. Firstly, we performed expensive global structure searches at the (PBE0/Zr/LanL2DZ/B/6-31G) level of theory. We followed at least 20–30 generations in the CALYPSO cluster structure search, depending on cluster size, to generate at least 1000–1500 structurally different low-lying isomers for each cluster size. Searches were rerun independently at each cluster size to ensure the global minimum was indeed found reliably, while non-converged searches were extended until no new low-energy candidates appeared in the final stages of the search. Subsequently, among the 1000–1500 isomers, the top 50 low-lying isomers with energy differences from the lowest-energy isomers of less than 3 eV are collected as candidates for determination of the lowest-energy structures, and further re-optimized at the (PBE0/Zr/def2-TZVP/B/6-311+G(d)) level to identify the true global minimum structure at each cluster size.

All the energetically low-lying structures are fully relaxed without geometry and spin constraints until the forces are smaller than a criterion of convergence. The DFT calculations are carried out by using the generalized gradient exchange-correlation hybrid Perdew–Burke–Ernzerhof (PBE0)\textsuperscript{46} as implemented in the Gaussian 09 suite.\textsuperscript{37} The all electron basis set 6-311+G(d)\textsuperscript{44} is used for the B atom and def2-TZVP\textsuperscript{45} for the Zr atom. Multiple spin states and vibrational frequency calculation are all considered in the process of the refined structure re-optimizations. In order to test the reliability of our calculations, we have calculated the neutral Zr\textsubscript{2}, B\textsubscript{2} and ZrB\textsubscript{2} dimers through many different functionals (HF,\textsuperscript{30} B3LYP,\textsuperscript{51} PW91,\textsuperscript{52} PBE,\textsuperscript{53} B3PW91\textsuperscript{54} and CCSD(T)\textsuperscript{55}) with 6-311+G(d) and def2-TZVP basis sets for the B and Zr atom, respectively. The calculated results are summarized in Table S1 (ESI†). From there, it is found that the bond lengths R and vibrational frequencies ω of the three molecules obtained with the PBE0 functional are in good agreement with the available experimental and theoretical values.\textsuperscript{56–58} So, PBE0/Zr/def2-TZVP/B/6-311+G(d) has been selected as a reasonable method for ZrB\textsubscript{n} clusters. The same level of theory, PBE0/Zr/def2-TZVP/B/6-311+G(d), has also been used for DFT calculations of TM Co doped boron clusters.\textsuperscript{59}

Moreover, we have also simulated the photoelectron spectra of the anionic ZrB\textsubscript{n} clusters using the time-dependent density functional theory (TD-DFT) method. The IBO\textsuperscript{60,61} and AdNDP\textsuperscript{62} bonding analyses are performed using the Multiwfn\textsuperscript{63} program package to gain further insight into the bonding mechanisms present in these clusters.
3 Results and discussion

3.1 Geometric structures and photoelectron spectra

Based on the systematic detailed search of the potential energy surfaces by the CALYPSO method and combined with the re-optimized refined DFT calculations, the lowest-energy structures of neutral and anionic ZrB$_n$ clusters were obtained in the size range of 10 ≤ $n$ ≤ 20. The lowest-energy structures of ZrB$_n^Q$ ($n = 10–20$, $Q = 0$, $−1$) clusters along with their point group symmetries are displayed in Fig. 1. The most relevant metastable structures for each size are shown in Fig. S1 in the ESL.$^\dagger$ More information about the lowest-energy structures of ZrB$_n^Q$ ($n = 10–20$, $Q = 0$, $−1$) clusters is collected in Table S2 (ESI†).

Generally speaking, as shown in Fig. 1, the geometric structures can differ drastically from pure boron clusters when TM zirconium is present for doping.$^\dagger$ Pure boron clusters are characterized by planar or quasi-planar structure in their ground states, while the ZrB$_n$ exhibit 3D structures for all clusters sizes up to $n = 20$. For neutral ZrB$_n$ clusters, distinct half-sandwich structures emerge at $n = 10$, 11, with Zr centered inside the boron bowls and slightly out of the plane of their quasi-planar structures. In the ZrB$_{12}$ cluster, the Zr atom adopts a vertex within the bowl structure, which otherwise features a nine membered outer boron ring and an inner quasi-linear B$_3$ unit that is slightly out of plane. For larger size-selected clusters, ZrB$_{13}$ can be seen as adding two B atoms to the centre of the ZrB$_{12}$ cluster. For $14 \leq n \leq 19$, except for $n = 15$, the clusters form distorted drum-like structures comprising two boron rings sandwiching the Zr atom, with point group symmetries $C_5$ or $C_{2v}$ (all displayed in Fig. 1). For the ZrB$_{15}$ species, the Zr atom is centered in a bowl-like B ring structure with four-membered inner rings akin to similar boron half-sandwich structures that bind to other transition metals.$^{24,25}$ The neutral ZrB$_{20}$ cluster possess a tubular structure with two additional B atoms bridging atop the distorted B$_{18}$ drum. This structure lies 0.36 eV lower in energy than the perfect D$_{2d}$ drum-like structure.

For the anionic species, an obvious structural evolution pattern shows that Zr doped boron clusters evolve from a half-sandwich structure ($n = 10$) to distorted double ring structures at $n = 14$, and then to distorted Zr centered drum-like structures for $n = 20$. For $n = 10–13$, the TM Zr atom is out of the plane of the B$_n$ circular ring, and ZrB$_{12}^−$ possesses a transitional half-sandwich structure, which is formed by a quasi-linear B$_3$ unit combined with nine outer-ringing B atoms. Prior work has shown that B$_{12}^−$ would be the best ligand candidate to form novel $C_{3v}$ half-sandwich coordination complexes with TMs$^{23,24}$ due to the $C_5$ symmetry of the B$_{12}$ structures.$^{39}$ This is different to the $C_{2v}$-symmetric ZrB$_{12}^−$ we have obtained. The reason for this may be that the Zr atom is too small to stabilize the bare $C_5$ symmetry of the B$_{12}^−$ ring$^{65}$ compared with the M@B$_{12}$ ($M = $ Co, Rh, Ir) clusters.$^{23,24}$ With the B atom number increasing from 14 through 18, distorted drum-like anionic ZrB$_n^−$ clusters exhibit a Zr atom in the center of boron double rings, the latter possibly not fully closed. The global minimum of ZrB$_{15}^−$ is cage-like of $C_5$ symmetry, and can be seen as two B atoms capping a Zr-centered distorted drum-like structure. Similar to the reported TaB$_{20}^−$ structure,$^{66}$ the lowest-energy ZrB$_{20}^−$ species obtained by CALYPSO is also characterized by a Zr atom caged in a tubular molecular motif of $C_5$ symmetry with a B$_3$ species bridging the drum B$_{18}$ structure. This structure is found to be more stable by 0.26 eV than the ideal double-ringed alternative.

To confirm the ground-state structures of the ZrB$_n^−$ clusters, the photoelectron spectra of the anionic ZrB$_n$ clusters are simulated by the TD-DFT method. Regarding the ZrB$_n^−$ PES calculations, the first band represents the detachment transition from the ground state of ZrB$_n^−$ to that of neutral ZrB$_n$ clusters, and thus gives the vertical detachment energy (VDE). The calculated VDE as well as the adiabatic detachment energy (ADE) obtained by the corresponding intersection between the baseline and the rising edge of the first peak are collected in Table S3 (ESI†). As shown in Fig. 2, a large number of bands occurs in the spectra for $n = 10$, 11 in the binding energy range 1.5 eV to 5.0 eV. The VDE of ZrB$_{10}^−$ is lower than that of ZrB$_{11}$ due to the different geometric structure, however they otherwise possess very similar spectral patterns. For the ZrB$_{12}$ species, a busy spectrum emerges for 2.9–4.5 eV, while the VDE of the ZrB$_{12}^−$ cluster lies about 1.0 eV lower in binding energy than that of M@B$_{12}$ ($M = $ Co, Rh, Ir)$^{23,24}$ The crucial reason for

![Fig. 1 Lowest-energy structures of ZrB$_n^Q$ ($n = 10–20$, $Q = 0$, $−1$) clusters along with their point group symmetries.](image-url)
this difference may be related to the smaller size of dopant Zr compared to the group-9 atoms, which also manifests itself in a different geometric structure. For ZrB$_{n^-}$ with $n = 13-18$, all the PES bands have a relatively similar pattern, with distinctive peaks in the binding energy range of about 2.50–3.60 eV. More continuous peaks are seen for binding energies in the range 3.60–5.00 eV. For the ZrB$_{19^-}$ cluster, a large VDE value of 3.57 eV is seen, accompanied by two obvious shoulders at higher energies. The ZrB$_{20^-}$ cluster features major prominent peaks in the binding energy range 2.61–5.00 eV. Its first peak is located at 2.62 eV, which is lower than in the ZrB$_{19^-}$ species, and may be due to the distortion of the Zr-centered drum-like structure in this cluster. Based on previously seen robust analyses of computed and experimental PES data, we believe that the predicted theoretical spectra will stimulate follow-up computational and experimental investigations of the Zr-doped boron cluster system.

### 3.2 Relative stabilities of ZrB$_{Q}^n$ ($n = 10–20$, $Q = 0, -1$) clusters

To estimate the relative stability of the re-optimized lowest-energy ZrB$_{Q}^n$ ($n = 10–20$, $Q = 0, -1$) clusters, the binding energy per atom ($E_b$) and second energy difference ($\Delta^2E$) are shown in Fig. 3, defined by the following formulae:

$$E_b(ZrB_{Q}^n) = \frac{nE(B) + E(ZrQ)}{(n + 1)}$$  

(1)

$$\Delta^2E(ZrB_{Q}^n) = E(ZrB_{Q+1}^n) - 2E(ZrB_{Q}^n) - E(ZrB_{Q-1}^n)$$  

(2)

$E_b$ represents the energy gain of adding a neutral or anionic Zr atom to an assembly of boron atoms, and exhibits a gradual monotonic growth as a function of the number of B atoms (Fig. 3a). Clearly, the anionic clusters have higher values than corresponding neutral species and imply enhanced stability.

And with the cluster size increasing, the values of $E_b$ for both the neutral and anionic ZrB$_n$ clusters gradually flatten out with increased $n$, indicating that successively larger clusters are relatively stable. Another sensitive physical parameter $\Delta^2E$ helps characterise the relative stability of certain clusters against neighbouring smaller or larger clusters, and is shown in Fig. 3b. For the neutral ZrB$_n$ isomers, an apparent odd–even oscillation appears, with distinct peaks located at even sizes, which indicates that the ZrB$_n$ with $n = 12, 14, 16...$ and closed shell electronic structures are more stable than the respective adjacent clusters. For the corresponding anionic species, the stabilities are also reflected by the $\Delta^2E$ alternation. The Zr doped B$_{12}$ clusters, both neutral and anionic species, exhibit high stability compared to their neighbours, presumably due to the stable distorted half-sandwich structure of the ZrB$_{12}$ isomer.

A large energy difference between the highest occupied molecular orbital and lowest unoccupied molecular orbital (HOMO–LUMO gap), also labeled $E_{\text{gap}}$, implies high kinetic stability and low chemical reactivity, as it would be unfavorable to accept electrons into a high-lying unoccupied molecular orbital, or to donate electrons from a low-lying occupied molecular orbital. On this basis, the $E_{\text{gap}}$ values of neutral...
and anionic ZrB<sub>n</sub> clusters are listed in Table S1 (ESI†) and the cluster size dependence of \( E_{\text{gap}} \) is shown in Fig. 3c. Comparing \( E_{\text{gap}} \) of clusters in both charge states, the noticeable peaks for ZrB<sub>12</sub> and ZrB<sub>12</sub><sup>−</sup> imply their enhanced relative stability, a tendency that is parallel to the analysis of \( \Delta^2E \). The neutral clusters’ \( E_{\text{gap}} \) values for even-sized clusters are mostly higher than for odd-sized clusters because the numbers of valence electrons are paired and thus they possess closed-shell structures, while for the anionic ZrB<sub>n</sub> clusters, we can see a less clear dependency on boron atom number, and the most stable structure can be ascribed to ZrB<sub>12</sub><sup>−</sup> with the local maximum \( E_{\text{gap}} = 2.78 \) eV.

### 3.3 The localized molecular orbital and chemical bonding analyses of the ZrB<sub>12</sub> cluster

The Zr doping of B clusters may have enormous effects on the geometry and electronic behavior. The analysis described in the previous section implies special enhanced stability and chemically inert species for ZrB<sub>12</sub> and ZrB<sub>12</sub><sup>−</sup> clusters because the Zr dopant broke the B<sub>12</sub> unit geometry. The relative stability analysis indicated that the ZrB<sub>12</sub> cluster with closed shell valence electronic structure has a large HOMO–LUMO gap of about 2.83 eV. Further elucidation should come from intrinsic bond orbital analysis of the neutral ZrB<sub>12</sub> localized molecular orbitals (LMOs), shown in Fig. 4.

For better understanding of the high stability of the neutral ZrB<sub>12</sub> cluster, the bonding patterns have been analyzed by IBO.\textsuperscript{60} The IBO method is based on maximizing a certain localization functional for unitarily transformed MOs, which can provide a direct connection between quantum chemistry and intuitive chemical concepts.\textsuperscript{61} For simplicity, we have performed the IBO calculations and show selected occupied LMOs of the neutral ZrB<sub>12</sub> cluster in Fig. 4, while the corresponding IBOs of the ZrB<sub>12</sub><sup>−</sup> cluster are given in Fig. S2 (ESI†). From these graphics, we can see distinctly the B–B bonds in the peripheral B<sub>9</sub> ring interact with the central doped Zr atom, which manifests in multicenter \( \sigma \) bonding LMOs. The 4d-type atomic orbital of the doped Zr atom also features, and the linear B<sub>3</sub> unit also interacts with the Zr atom to form a \( \sigma \) bond. Analyzing the LMOs in the neutral ZrB<sub>12</sub> cluster system, the IBOs of the peripheral B<sub>9</sub> ring structure and the linear B<sub>3</sub> unit are strongly localized on B–B–Zr LMOs. This suggests that \( \sigma \) bonding is the root cause for the highly stable species.

The bonding nature of the interactions between the Zr and B atoms in the neutral ZrB<sub>12</sub> cluster was further elucidated by the AdNDP method and is presented in Fig. 5 (the corresponding AdNDP bonding patterns of the ZrB<sub>12</sub><sup>−</sup> cluster are depicted in Fig. S3, ESI†). AdNDP is an extension of natural bond orbital analysis.\textsuperscript{62} There are eleven delocalized 3c-2e \( \sigma \)-bonds among the peripheral B and Zr atoms. Nine of these 3c-2e \( \sigma \)-bonds could be ascribed to the interactions in triangular B–B–B units among the B<sub>12</sub> species (ON = 1.920–1.971 [e]), while another two sets of 3c-2e \( \sigma \)-bonds feature in the quasi-linear B<sub>3</sub> unit (ON = 1.890 [e]). One 4c-2e bond is involved in the \( \sigma \)-bond between the d-type AO of the Zr and p-type AO of B atoms, the ON = 1.954 [e] of which, instead of the ideal 2.00 [e], indicates the partial covalent bonding in this system. There are four more delocalized bonds, two pairs of 5c-2e \( \sigma \)-bond and two pairs of 7c-2e \( \pi \)-bond patterns. The first two 5c-2e \( \sigma \)-bonds characterise the interaction along the outside of the peripheral B atoms, with ON = 1.963 [e], while another pair of 5c-2e \( \sigma \)-bonds describe the interaction of Zr with five peripheral B atoms. The already discussed inclination to form delocalized \( \pi \)-bonds between the dopant Zr atom and the centered linear B<sub>3</sub> unit emerges again in two pairs of 7c-2e \( \pi \)-bonds with different ON, 1.927 and 1.890 [e], respectively. The 7c-2e \( \pi \)-bonds are responsible for the bonding between the d-type AO Zr atom and p-type B atoms in the linear B<sub>3</sub> unit, while some \( \sigma \)-bonds amongst peripheral B atoms could also be observed. It is worth pointing out that the ZrB<sub>12</sub> clusters contain \( \sigma \)-bonds mainly due to the B–B interactions, especially the \( \sigma \)-bonds in the linear B<sub>3</sub> unit and the peripheral B<sub>9</sub> ring, and \( \pi \)-bonds that rely on the d-type AO Zr atom, which make it overall a particularly stable species.

![Fig. 4](image_url) The intrinsic bond orbitals of the neutral ZrB<sub>12</sub> cluster visualized by lboView.\textsuperscript{63} The iso-surfaces enclose 80% of the orbitals’ electron density.

![Fig. 5](image_url) AdNDP bonding pattern of the neutral ZrB<sub>12</sub> cluster, ON stands for occupation number.
4 Conclusion

Through an analysis of $\text{ZrB}_n^Q \ (n = 10–20, Q = 0, \ -1)$ clusters by the combination of CALYPSO and DFT methodology, the ground-state structures of the proposed clusters are characterised by a distinctive structural evolution pattern, from the half-sandwich structure to distorted drum-like structures and then to Zr-centered distorted tubular motifs. We expect that the predictive theoretical PES data will stimulate follow-up computational and experimental investigations of the $\text{ZrB}_n$ cluster system. Meanwhile, according to analysis of the relative stabilities, the neutral and anionic $\text{ZrB}_{12}$ clusters are found to have special enhanced stability among the size range of $n = 10–20$. The stability of the lowest-energy structure $\text{ZrB}_{12}$ is analyzed by IBO and AdNDP methods, which show that the dopant Zr atom breaks the triangle $\text{B}_3$ to form a quasi-linear $\text{B}_3$ unit in the $\text{B}_{12}$ motif and induce strong Zr–B interactions that enhance the stability of the neutral half-sandwich $\text{ZrB}_{12}$ cluster.

Conflicts of interest

There are no conflicts to declare.

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