

## Overview

Pauli postulates Neutrino

Discovery of neutrino flavours

Electron, muon and tau neutrinos

Neutrino Interactions

Cross sections

Neutrino mass

Direct measurement

Neutrino oscillations

Lepton flavour violation

Formalism

Solar neutrinos

Homestake, Super-K and SNO

Atmospheric neutrinos

Super-K

Discovery of Neutrino Mass

neutrino oscillations

Cosmology

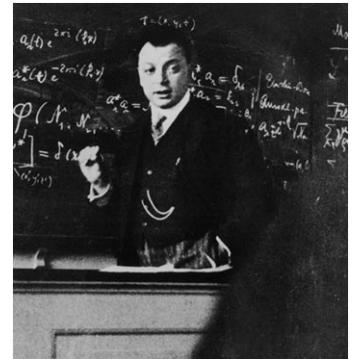
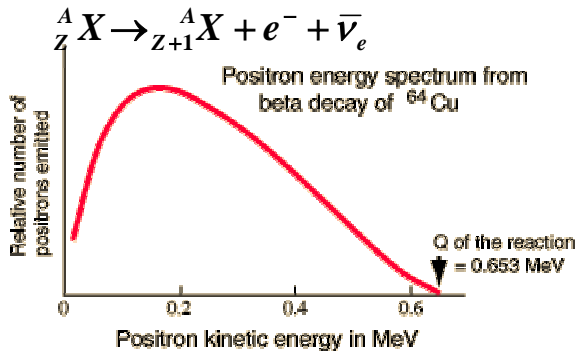
# Pauli's Letter



## Particle Physics in 1930

Only 3 known fundamental particles:  $e^-$ ,  $p$ ,  $\gamma$

Continuous energy spectrum of  $e^-$  in beta decay



## Pauli postulates Neutrino

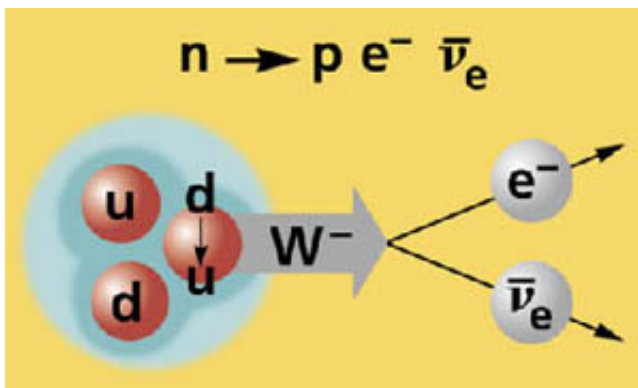
Pauli

Dear radioactive Ladies and Gentleman

"... desperate remedy to save ... the law of conservation of energy"

"... that there could exist ... neutrons"

"in beta decay a neutron is emitted in addition to the electron"



Original: *Photocopy of Pauli 0393*  
Abschrift/15.12.96 PW

Öffener Brief an die Gruppe der Radioaktiven bei der  
Gauvereins-Tagung zu Tübingen.

Abschrift  
Physikalisches Institut  
der Königl. Technischen Hochschule  
Zürich

Zürich, 4. Des. 1930  
Gloriastrasse

Liebe Radioaktive Damen und Herren,

Wie der Uebersbringer dieser Zeilen, den ich herzlichst  
anmahnen bitte, Ihnen das Näherem auseinandersetzen wird, bin ich  
angeichts der "fehlenden" Statistik der  $\alpha$ - und  $\beta$ -Kerne, sowie  
des kontinuierlichen  $\beta$ -Spektrums auf einen verzweifeltsten Ausweg  
verfallen um den "Nochbesten" (1) der Statistik und den Energiesatz  
zu retten. Nämlich die Möglichkeit, es könnten elektrisch neutrale  
Teilchen, wie ich Neutronen nennen will, in den Kernen existieren,  
welche den Spin  $1/2$  haben und das Ausschliessungsprinzip befolgen und  
sich von Lichtparten unterscheiden noch dadurch unterscheiden, dass sie  
gleich mit Lichtgeschwindigkeit laufen. Die Masse der Neutronen  
müsste von derselben Ordnung wie die Elektronenmasse sein und  
jedenfalls nicht grösser als 0,01 Protonenmasse. Das kontinuierliche  
 $\beta$ -Spektrum wäre dann verständlich unter der Annahme, dass beim  
 $\beta$ -Zerfall mit dem Elektron jeweils noch ein Neutron emittiert  
würde, d.h. dass die Summe der Energien von Neutron und Elektron  
konstant ist.

1934 name - "neutrino" coined by Fermi

1932 neutron discovered by Chadwick

# Neutrino Interactions



## Neutrinos

point-like leptons

do not interact strongly, no colour charge

charge  $Q = 0 \rightarrow$  no electromagnetic interactions

Only weak interactions by coupling to  $W^\pm$  and  $Z^0$

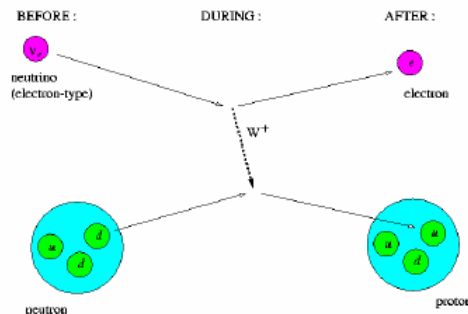
Expect very small detection rates

" I have done a terrible thing. I have postulated a particle that cannot be detected." Pauli

## Inverse Beta Decay

$$\nu_e + n \rightarrow p + e^-$$

$$\bar{\nu}_e + p \rightarrow n + e^+$$



## Cross section

$$\sigma(\bar{\nu}_e + p \rightarrow n + e^+) \approx 5 \cdot 10^{-44} \left( \frac{E_{\bar{\nu}}}{\text{MeV}} \right)^2 \text{ cm}^2$$

## Mean Free Path

60 light years of water for 1 MeV anti-neutrino

$$\lambda = \frac{1}{n\sigma} \approx 6 \cdot 10^{19} \text{ cm} \approx 60 \text{ light years} \quad n = Z \frac{N_A}{A} = 3.34 \cdot 10^{23} \text{ cm}^{-3}$$

1  $\nu$  in  $10^{11}$  interacts when crossing the earth

Require huge rates as neutrino sources

# Discovery of Neutrinos



## Electron Neutrino $\nu_e$

Anti-neutrino source

Nuclear reactor --- anti- $\nu$  flux  $6 \cdot 10^{20} \text{ s}^{-1}$

Target and Detector --- 400 l liquid scintillator

Water and Cadmium Chloride

Detection of anti-neutrino  $\bar{\nu}_e + p \rightarrow n + e^+$

$e^+$  annihilates with atomic  $e^-$

$$e^+e^- \rightarrow \gamma\gamma$$

$n$  Cd reaction delayed by 20  $\mu\text{s}$

$$n\text{Cd} \rightarrow \text{Cd}^* \rightarrow \gamma\text{Cd}$$

Delayed coincidences only produced by signal

## Muon Neutrino $\nu_\mu$

1962 at Brookhaven by

Pion beam

Ledermann, Steinberger, Schwartz

Iron absorber --- only muons survive

$$\pi^+ \text{ beam} \quad \pi^+ \rightarrow \mu^+ \nu_\mu \quad \pi^- \rightarrow \mu^- \bar{\nu}_\mu$$

$$\text{observe} \quad \nu_\mu + n \rightarrow \mu^- + p \quad \bar{\nu}_\mu + p \rightarrow \mu^+ + n$$

$$\text{don't see} \quad \nu_\mu + n \rightarrow e^- + p \quad \bar{\nu}_\mu + p \rightarrow e^+ + n$$

→  $\nu_\mu$  and  $\nu_e$  are different

## Tau Neutrino $\nu_\tau$

2000 at Fermilab - Donut

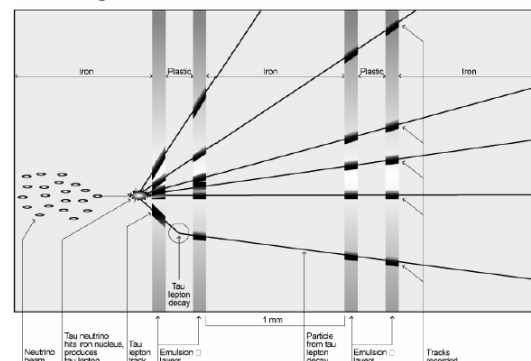
$p$  on tungsten target  
produce  $D_s$  mesons

$$D_s^+ \rightarrow \tau^+ + \nu_\tau$$

$$\nu_\tau + N \rightarrow \tau^- + X$$

$$\tau^- \rightarrow \mu^- + \nu_\tau + \bar{\nu}_\mu$$

Detecting a Tau Neutrino

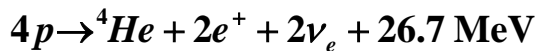
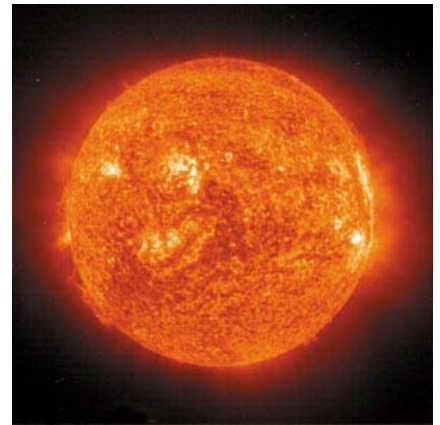


# Neutrino Physics



## Neutrino Sources

- Natural radioactivity - e.g. rocks
- Cosmic rays hitting the atmosphere
- Nuclear reactors
- Particle accelerators
- Sun - nuclear fusion reactor



Flux on earth  $\sim 10^{11} \text{ cm}^{-2}\text{s}^{-1}$

100 billions/sec through your finger nail

## Neutrino Mass

No apparent "reason" for neutrino to be massless  
all other fermions have mass

## Direct mass measurements

Beta decay energy spectrum

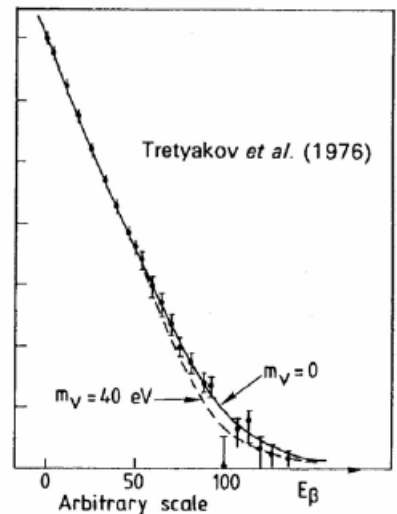
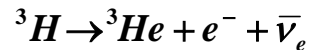
$$\frac{d\Gamma}{dE} = \frac{G_F^2}{2\pi^3} (E_0 - E_e)^2 E_e^2$$

$$\sqrt{\frac{d\Gamma}{dE} \frac{1}{E_e^2}} \propto E_0 - E_e$$

Kurie plot  
linear

Endpoint modified by resolution  
and non-zero  $\nu_e$  mass

Tritium Beta Decay Mass  $m(\nu_e) < 3 \text{ eV}$



## Neutrino Oscillations

No apparent "reason" for neutrinos not to oscillate  
into each other



# Neutrino Oscillations



## Lepton flavour conservation

$L_e, L_\mu, L_\tau$  are conserved separately

Neutrinos with mass can mix - weak eigenstates are linear superpositions of mass eigenstates

→  $L_e, L_\mu, L_\tau$  not absolutely conserved

$L_e, L_\mu, L_\tau$  Violation too small to observe  $BF < 10^{-40}$

## 2 Neutrino flavours

Easy to understand, can be expanded to 3 generations

$$\begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix}$$

Time evolution  $\nu_1(t) = \nu_1(0) \exp(-iE_1 t)$

$\nu_2(t) = \nu_2(0) \exp(-iE_2 t)$

Intensity  $I(t)$  for initial  $\nu_e$  beam

$$I_{\nu_e}(t) = I_{\nu_e}(0) \left( (\cos^2 \theta + \sin^2 \theta)^2 - 4 \sin^2 \theta \cos^2 \theta \sin^2 \left( \frac{(E_2 - E_1)t}{2} \right) \right)$$

Neutrino energy  $E$  and mass difference  $\Delta m_{12}^2$

$$E_i^2 = p_i^2 + m_i^2 \quad E_i \gg m_i \quad \Delta m_{12}^2 \equiv m_2^2 - m_1^2$$

$$\Rightarrow E_i \approx p_i + \frac{m_i^2}{2p_i} \quad \Rightarrow \Delta E \equiv E_2 - E_1 \approx \Delta m_{12}^2 / 2E$$

## Neutrino Oscillation Probabilities

$$P(\nu_e \rightarrow \nu_e) = 1 - \sin^2 2\theta \sin^2 \left( \frac{1.27 \Delta m_{12}^2 [\text{eV}] L [\text{m}]}{E [\text{MeV}]} \right)$$

$$P(\nu_e \rightarrow \nu_\mu) = \sin^2 2\theta \sin^2 \left( \frac{1.27 \Delta m_{12}^2 [\text{eV}] L [\text{m}]}{E [\text{MeV}]} \right)$$

Distance from source  $L$  [m],  $E$  [MeV] and  $\Delta m_{12}^2$  [eV<sup>2</sup>]

# Solar Neutrinos



## Standard Solar Model (SSM)

Bahcall

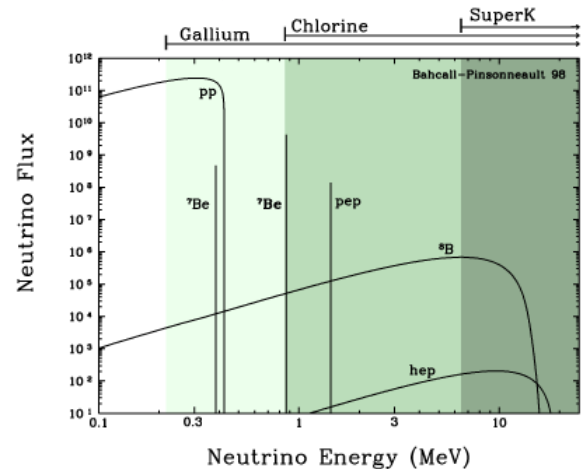
Predicts rates and solar neutrino energy spectra

pp flux below 0.42 MeV

$^8\text{B}$   $\nu_e$  up to 14 MeV

pp cycle

|  |       |
|--|-------|
| $pp \rightarrow ^2\text{H} + e^+ + \nu_e$                    |       |
| $^2\text{H} + p \rightarrow ^3\text{He} + \gamma$            |       |
| $^3\text{He} + ^3\text{He} \rightarrow ^4\text{He} + 2p$     | 85%   |
| $^3\text{He} + ^4\text{He} \rightarrow ^7\text{Be} + \gamma$ | 15%   |
| $e^- + ^7\text{Be} \rightarrow ^7\text{Li} + \nu_e$          |       |
| $^7\text{Li} + p \rightarrow 2^4\text{He}$                   |       |
| $p + ^7\text{Be} \rightarrow ^8\text{B} + \gamma$            | 0.02% |
| $^8\text{B} \rightarrow ^8\text{Be}^* + e^+ + \nu_e$         |       |
| $^8\text{Be}^* \rightarrow 2^4\text{He}$                     |       |



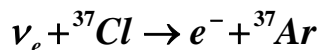
## Homestake Experiment

First observed solar neutrinos in 1970s

Davies

100,000 gallons of  
cleaning fluid  $\text{C}_2\text{Cl}_4$

### Measurement



0.5 Ar atoms/day

$2.56 \pm 0.23$  SNU

### SSM prediction

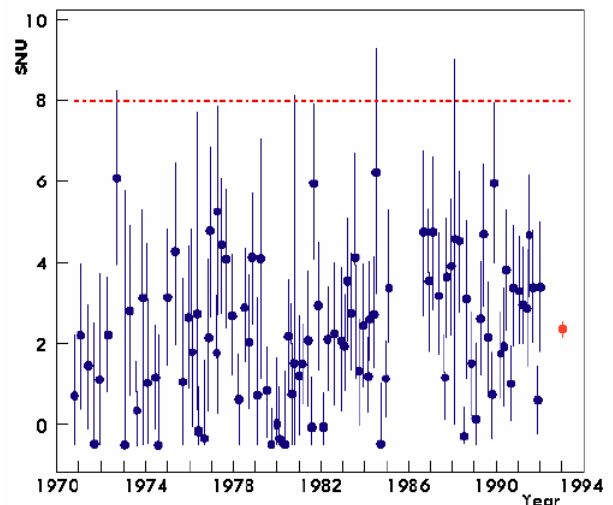
1.5 Ar atoms/day

$7.7 \pm 1.3$  SNU

**Puzzle - What is wrong?**

1 SNU = 1 interaction

Experiment, SSM, Neutrinos  $10^{36}$  target atoms/sec





# Solar Neutrinos II



## Super-Kamiokande

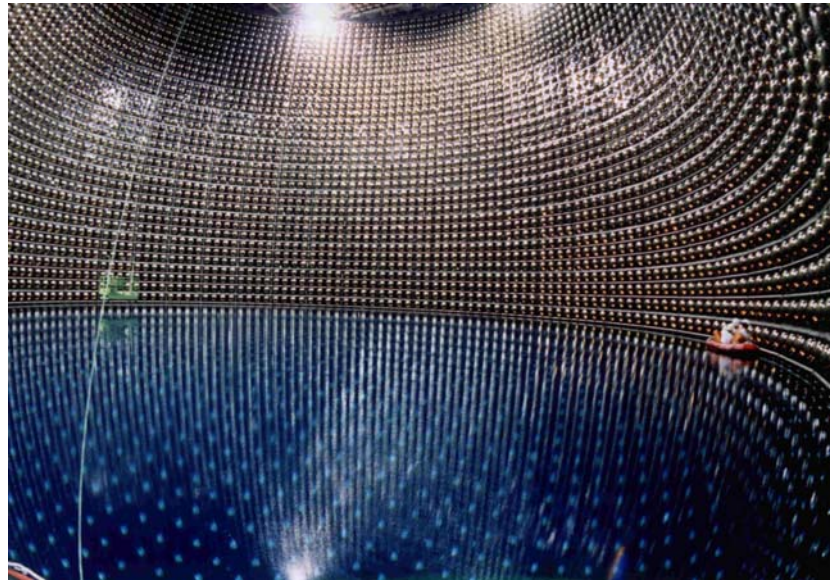
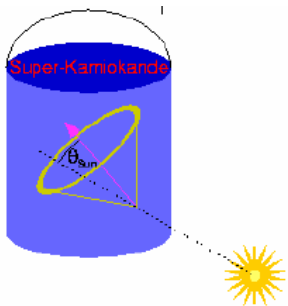
50,000 tons of water, 11,000 phototubes  
underground inside a mine in Japan, started 1997

## $\nu_e$ Detection

Elastic scattering

$$\nu_e + e^- \rightarrow \nu_e + e^-$$

Directional  
sensitivity



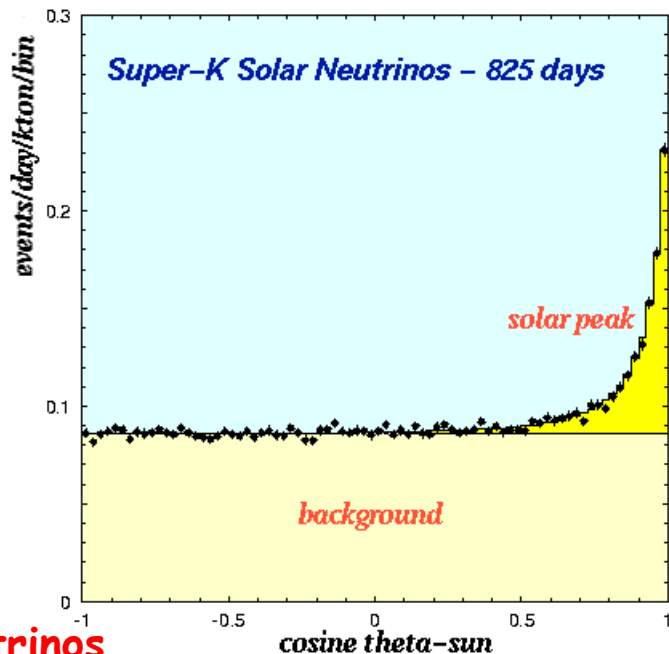
## Measurements

$(0.465 \pm 0.005$   
 $+ 0.016 - 0.015) \times \text{SSM}$   
 $\nu_e$  definitely from sun  
Signal from centre of sun

## Puzzle - What is wrong?

Homestake experiment  
confirmed

~~Experiment~~, SSM, Neutrinos





# Solar Neutrinos III



## SNO --- Sudbury Neutrino Observatory

1000 tons of heavy water ( $D_2O$ )

10,000 photo multipliers tubes

### Sensitivity

SNO is able to measure  $\nu_e$  - Charged Current

but also  $\nu_\mu$  and  $\nu_\tau$  ( $\nu_{i=e,\mu,\tau}$ ) - Neutral Current

Charged current (CC)  $\nu_e + D \rightarrow p + p + e^-$

Elastic scattering (ES)  $\nu_i + e^- \rightarrow \nu_i + e^-$

Neutral current (NC)  $\nu_i + D \rightarrow n + p + \nu_i$

### Measurements

2003 results

$$Flux_{CC} = 1.59^{+0.10}_{-0.11} \times 10^{-6} \text{ cm}^{-2} \text{ s}^{-1}$$

31% of SSM

$$Flux_{ES} = 2.21^{+0.33}_{-0.28} \times 10^{-6} \text{ cm}^{-2} \text{ s}^{-1}$$

$$Flux_{NC} = 5.21 \pm 0.47 \times 10^{-6} \text{ cm}^{-2} \text{ s}^{-1}$$

100% of SSM

$$CC/NC = 0.306 \pm 0.036$$

31 % of solar  $\nu$ 's arrive as  $\nu_e$  at earth

100% of solar  $\nu$ 's detected if  $\nu_\mu$  and  $\nu_\tau$  are included

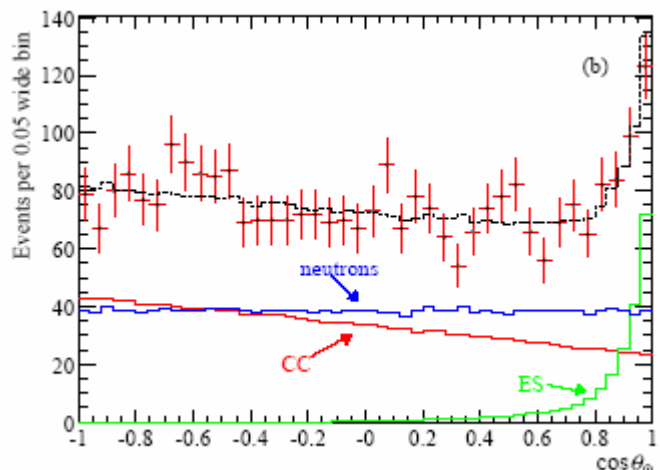
### Puzzle - What is wrong?

~~Experiment, SSM,~~

Neutrinos

## Neutrino Oscillations

$\nu_e$  change to  $\nu_\mu$  in flight



# Atmospheric Neutrinos



## Cosmic Rays

Protons hit nuclei in upper part of atmosphere

Producing chain of particles

copious source of neutrinos

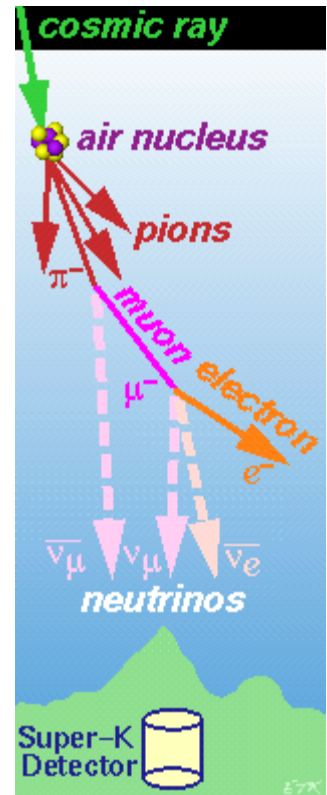
Main decay sequence

$$\pi^+ \rightarrow \mu^+ \nu_\mu \quad \text{and} \quad \pi^- \rightarrow \mu^- \bar{\nu}_\mu$$

$$\mu^+ \rightarrow e^+ \nu_e \bar{\nu}_\mu \quad \mu^- \rightarrow e^- \bar{\nu}_e \nu_\mu$$

Expect 2  $\nu_\mu$  for each  $\nu_e$

~1990 1<sup>st</sup> indications for "too few  $\nu_\mu$ "



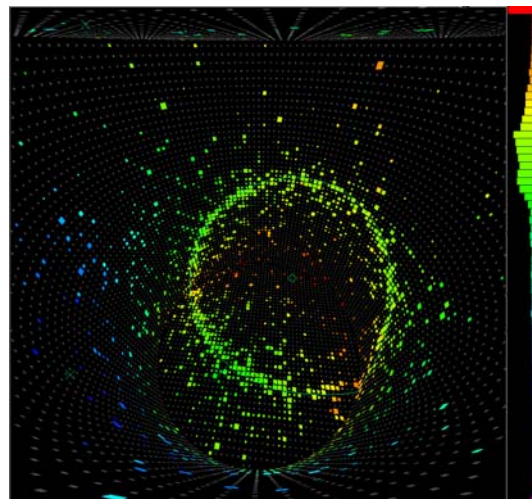
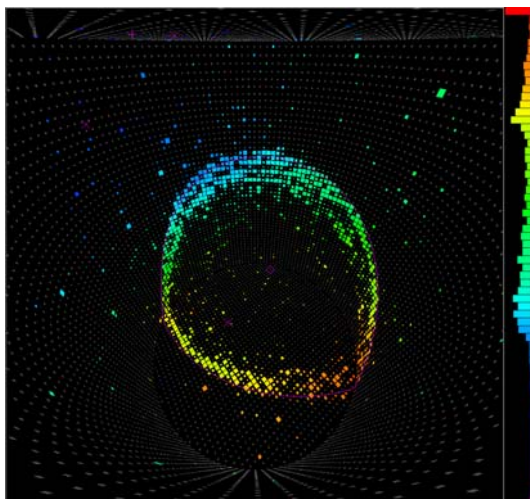
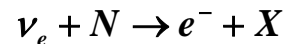
## Super-Kamiokande

Can discriminate  $\nu_\mu$  from  $\nu_e$



Recoil muon produces clean ring

Recoil e- produces fuzzy ring



# Atmospheric Neutrinos II



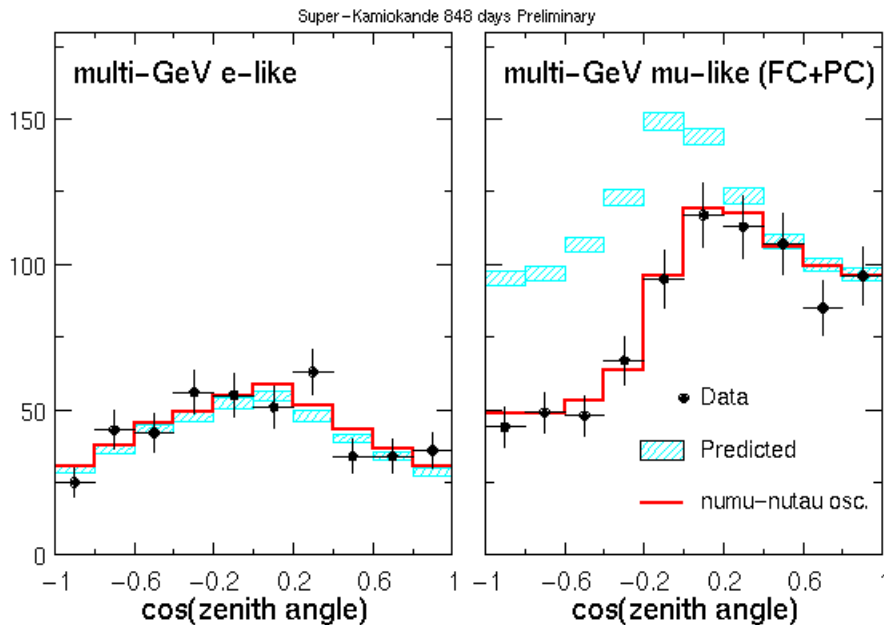
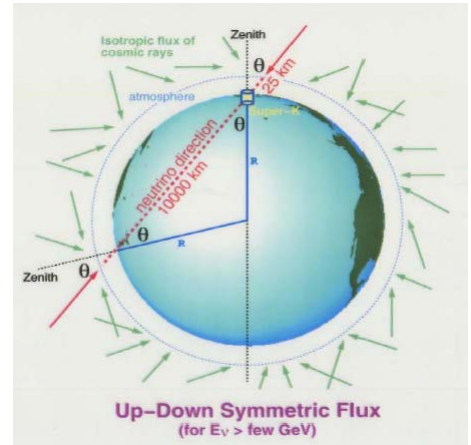
## Measurements

1998 Super-Kamiokande

Observe significant deficit of  $\nu_\mu$  and agreement for  $\nu_e$

## Neutrino Disappearance

$\nu_\mu$  traversing the earth i.e arriving at the detector from below disappear



## Neutrino Oscillations

Atmospheric neutrinos  $\nu_\mu$  change to  $\nu_\tau$  in flight

$$\nu_e \nu_\mu \quad \nu_\mu \nu_\tau$$

First Evidence for Neutrino Oscillations

# Discovery of Neutrino Mass



## Atmospheric Neutrino Oscillations

Atmospheric  $\nu_\mu$  change into  $\nu_\tau$

Oscillation probability

$$P(\nu_\mu \rightarrow \nu_\tau) = \sin^2(2\theta_{\mu\tau}) \sin^2\left(\frac{1.27\Delta m_{\mu\tau}^2 [\text{eV}^2] L[\text{m}]}{E[\text{MeV}]}\right)$$

Fit data for best values of mass difference  $\Delta m_{23}^2$  and mixing angle  $\theta_{\mu\tau}$

Find  $\theta_{\mu\tau} \approx 45^\circ$  and

$$\Delta m_{23}^2 \approx 2.4 \cdot 10^{-3} \text{ eV}^2$$

→ Neutrinos have Mass

## Solar Neutrino Oscillations

Super-Kamiokande, SNO

Kamland - reactor anti- $\nu_e$

Find  $\theta_{e\mu} \approx 33^\circ$  and

$$\Delta m_{12}^2 \approx 8.0 \cdot 10^{-5} \text{ eV}^2$$

## Neutrino mass

Minimum  $m_\nu \sim 0.05 \text{ eV}$

2 scenarios

## Cosmology

Big Bang large nr. of neutrinos

Neutrinos are

hot dark matter candidates

## Supernova 1987A

Kamiokande and IMB

Observed  $\sim 10$  neutrinos

